Exciton luminescence in one-dimensional resonant photonic crystals: A phenomenological approach

L. I. Deych,1 M. V. Erementchouk,2 A. A. Lisiansky,1 E. L. Ivchenko,3 and M. M. Voronov3
1Physics Department, Queens College, City University of New York, Flushing, New York 11367, USA
2Department of Physics and Astronomy, Northwestern University, Evanston, Illinois 60208, USA
3A. F. Ioffe Physico-Technical Institute, Politekhnicheskaya strasse 26, St. Petersburg 194021, Russia
(Rceived 3 November 2006; revised manuscript received 20 July 2007; published 31 August 2007)

A phenomenological theory of luminescence properties of one-dimensional resonant photonic crystals is developed within the framework of classical Maxwell’s equations with fluctuating polarization terms representing noncoherent sources of emission. The theory is based on an effective general approach in determining linear response of these structures and takes into account formation of polariton modes due to coherent radiative coupling between their constituting elements. The general results are applied to Bragg multiple-quantum-well structures, and theoretical luminescence spectra of these systems are compared with experimental results. The relation between absorption and luminescence spectra is also discussed.

DOI: 10.1103/PhysRevB.76.075350 PACS number(s): 78.55.—m, 78.67.De, 78.67.Pt, 42.70.Qs

I. INTRODUCTION

A possibility to influence the emission of light by tailoring the dielectric environment of emitting objects has been attracting a great deal of attention since the pioneering work by Yablonovitch.1 In Ref. 1, it was suggested that a three-dimensional periodic modulation of the dielectric constant can result in formation of a photonic band structure, consisting of allowed and forbidden photonic bands in analogy with electronic band structure. One of the important consequences of the band structure is a modification of electromagnetic density of states, which can be used to suppress or enhance the rate of the spontaneous emission of emitters embedded in a photonic crystal. While such drastic effects as the full inhibition of the spontaneous emission proved to be difficult to achieve,2,3 there is still a growing interest in emission properties of photonic crystals,4–8 which, even in the absence of complete photonic band gap, may result in a significant modification of properties of emitted radiation. If one is not looking to achieve the full inhibition of the spontaneous emission, the systems in which a periodic modulation takes place in only two or even one dimension are also of interest, because even though they cannot confine light completely, they do modify emission patterns for particular directions, which can be useful for various applications.

One-dimensional structures attract a particularly great attention, firstly, because they are easiest to manufacture, and, secondly, because they allow for a detailed theoretical description. These two circumstances make one-dimensional structures most suitable candidates for a number of applications that do not require modification of the electromagnetic properties in all three dimensions. At the same time, certain properties of one-dimensional structures are typical for two- and three-dimensional systems as well, and, therefore, these structures provide a convenient testing area for understanding some general properties of media with spatial modulation of the dielectric function.

Most of the previous works addressed the problem of the spontaneous emission in one-dimensional (1D) photonic structures from the perspective of individual emitters embedded in special dielectric environments such as superlattices or a Fabry-Pérot cavities (see, for instance, Refs. 9–12, and references therein). Theoretical analysis of these situations is based on the assumption that the structure of photonic modes is determined solely by the periodical modulation of the dielectric function. The interaction between the photonic modes and emitters is considered in this approach as weak in a sense that it does not affect the structure of the photonic modes and can be treated perturbatively within the framework of Fermi’s golden rule. These assumptions, however, break down in the important case of the so-called resonant photonic crystals that have begun attracting considerable attention in recent years. These structures are composed of periodically distributed structural elements containing dipole active internal excitations, which coexist with periodic modulation of the dielectric constant.13–22 Multiple quantum wells23 (MQW) present one of the popular realizations of such structures with the one-dimensional periodicity. Excitons confined within quantum wells provide optically active excitations, and the contrast between refractive indices of wells and barriers is responsible for periodic modulation of the dielectric constant. When the period of the structure satisfies a special, so-called Bragg condition, the interaction between light and excitons cannot be considered as weak and cannot be treated with the help of Fermi’s golden rule. Excitons and periodic modulation of the refractive index play, in such structures, equally important roles in the formation of the photon modes, which should be more appropriately called polaritons. The Bragg condition, in its most general form, can be written as \( \Delta \phi_p(\omega_0) = \pi \), where \( \Delta \phi_p \) is the change of the phase of the propagating electromagnetic wave over one period of the structure calculated at the exciton frequency \( \omega_0 \).24 If one neglects the refractive index contrast (optical lattice approximation), this condition can be rewritten as \( \omega_0 / d = \pi / c \), where \( d \) is the period of the structure and \( c \) is the speed of light in the medium.

The emission of light in such structures differs significantly from the cases considered in Refs. 9–12. The emitters of light in resonant photonic crystals affect the spatial struc-
ture of electromagnetic modes as much as the modulation of the refractive index. As a result, the processes of light emission by a particular quantum well and its propagation inside the structure should be considered on equal footing. To develop a theoretical formalism for dealing with such situations is the main objective of this paper. While focusing on the luminescent properties of Bragg MQW structures, we will treat them in a broad context of resonant photonic crystals. This allows us to develop a universal theoretical formalism applicable to essentially any type of one-dimensional structures with periodically distributed emitters.

Since we will be interested in the effects of photon environment on the luminescence rather than in a microscopic description of the processes of the exciton relaxation and recombination, we will base our theory on macroscopic Maxwell’s equations with a noncoherent polarization source term, which would simulate noncoherent exciton population created by a nonresonant pumping. The phenomenological nature of our work distinguishes it from a recent paper, in which microscopic theory of spontaneous emission of Bragg MQW structures was based on an approach in which both electron-hole dynamics and electromagnetic field were treated quantum mechanically. Phenomenological nature of our theory allows for establishing a direct and clear connection between global optical properties of MQW structures and their luminescence spectra, which is important for understanding an intimate relationship between geometrical structure of MQW systems and their emission properties. On the other hand, properties of the polarization source entering our calculations as a phenomenological object can only be established either from independent experiments or on the basis of microscopical theories of the kind developed in Ref. 25.

The theoretical formalism developed in this paper also solves a more general problem of calculating an optical linear response of finite 1D resonant photonic crystals. Usually, the linear response is studied using Green’s function formalism, based on spectral representation of the Green’s function. The latter, however, is not very well suited to deal with finite structures whose normal modes cannot be considered as eigenfunctions of a Hermitian operator. In our approach, we develop a method of relating Green’s function of the finite resonant photonic crystal to transfer matrices describing reflection and transmission properties of these structures.

This paper has the following structure. In Sec. II, we formulate the basic equations describing the distribution of the electric field in the structure and introduce the basic elements of our transfer-matrix approach. In Sec. III, we conclude the presentation of the general formalism by deriving a general expression for the respective Green’s function. In Sec. IV, the general formalism is applied to the problem of the exciton luminescence spectrum in resonant photonic crystals. In addition to considering an ideal periodic structure, we also discuss the role of inhomogeneous broadening, as well as relation between luminescence and absorption spectra. This paper is concluded with an appendix, where we discuss relation between our phenomenological approach and microscopical theories.

II. GENERAL SOLUTION OF MAXWELL’S EQUATIONS IN ONE-DIMENSIONAL RESONANT PHOTONIC CRYSTAL WITH POLARIZATION SOURCES

A. Maxwell’s equations for multiple-quantum-well system with sources of polarization

Our approach to the description of emission properties of MQW structures is based on the solution of classical Maxwell’s equations for monochromatic field with frequency $\omega$ of the following form:

$$\nabla \times \nabla \times \mathbf{E} = \frac{\omega^2}{c^2} [n^2(z)\mathbf{E} + 4\pi \mathbf{P}_{\text{exc}}],$$

where the coordinate $z$ is chosen to represent the growth direction of the structure, and $n(z)$ is the periodically modulated background index of refraction: $n(z+d)=n(z)$. $\mathbf{P}_{\text{exc}}$ here represents a contribution to polarization from optical transitions with frequencies within the spectral region of interest and has, therefore, a resonant frequency dependence. Nonresonant contribution to the polarization, which may arise from other types of radiative transition, is neglected. In typical experimental situation involving III-V quantum wells, the resonant term corresponds to polarization due to 1s heavy-hole excitons, and the nonresonant contribution can be considered as a contribution from all other optically active transitions between states of electron-hole system. Such a separation of polarization into contributions from different optical transitions is possible if one neglects Coulomb correlations between exciton states and electron-hole plasma. These correlations are important for highly excited states of semiconductors, but can be neglected if concentration of photoexcited electron-hole pairs is not too high, which is the situation considered in this work.

Semiclassical description of light-exciton interaction in a single quantum well (see, for instance, Ref. 27) indicates that the exciton contribution to polarization of a single quantum well (QW) can be presented in the following form:

$$P_{\text{exc}}^m = -\chi_m(\omega)\Phi_m(z)\left[ \int dz' \Phi_m(z')\mathbf{E}_{\perp}(z',\mathbf{p}) + \Sigma_m(\mathbf{p}) \right].$$

Here, index $m$ numerates quantum wells, $\mathbf{p}$ is the two-dimensional position vector perpendicular to the growth direction of the structure, and the wave function of the exciton localized in the $m$th well, $\Phi_m(z)$, is taken in the form $\Phi_m(z)=\Phi(z-z_m)$, where $z_m$ is the position of the center of the $m$th well. The expression proportional to the electric field in this equation describes direct optical excitation of excitons, while the second term, represented by the function $\Sigma_m(\mathbf{p})$, introduces an additional source of exciton polarization due to nonradiative processes. Depending on the properties of this term, it can describe either coherent or noncoherent emission. More detailed description of the function $\Sigma_m(\mathbf{p})$ in relation to the description of luminescence, as well as general justification of the phenomenological approach to this problem, is given in Sec. IV. Here, we will treat $\Sigma_m(\mathbf{p})$ as an arbitrary function responsible for the generation of exciton polarization.
Writing Eq. (2), we explicitly take into account that the dipole moment of heavy-hole excitons is oriented in the plane of the well and, therefore, only components of the field perpendicular to the growth direction, \( \mathbf{E}_z \), enter the expression for coherent exciton polarization. The intensity of the exciton-light interaction is characterized by the exciton susceptibility \( \chi_m(\omega) \). Neglecting the exciton dispersion in the plane of the quantum well and the inhomogeneous broadening, the susceptibility can be written in the form

\[
\chi_m(\omega) = \frac{\alpha}{\omega_m - \omega - i\gamma},
\]

where \( \alpha \) is the exciton-light coupling parameter proportional to the exciton dipole moment, \( \omega_m \) is the exciton resonance frequency in the \( m \)th well, and \( \gamma \) is the homogeneous broadening of the exciton line.

The exciton polarization of the entire MQW system is the sum of polarizations of individual wells:

\[
P_{\text{exc}} = \sum_m P^{(m)}. \tag{4}
\]

Here, we assume that the period of the spatial arrangement of the quantum wells coincides with the period of the modulation of the dielectric function, such that the distance between adjacent wells is \( z_{m+1} - z_m = d \). In principle, the nonresonant polarization term is also a sum of contribution from different wells, but since it does not depend on electric field, it is more convenient to keep it in the equation as a single term.

Maxwell’s equation \([\text{Eq. (1)}]\) together with polarization given by Eqs. (2) and (4), describes a system of quantum well excitons interacting with common radiative field \( \mathbf{E} \). In the absence of polarization sources, these equations have been intensively studied, and it is well known that they describe collective dynamics of radiatively coupled QW excitons.\(^{23,28,29}\) The main objective of the present section is to develop a general theoretical approach in solving Eqs. (1), (2), and (4) in the presence of the sources of polarization of an arbitrary form. However, since in this paper the developed approach will be mainly applied to exciton luminescence spectrum in the growth direction of the structure, we will restrict, for simplicity, our consideration only to s-polarized radiation.

Using the translational invariance of the system in the \( x-y \) plane, we can present the solutions of Eq. (1) in the form

\[
\mathbf{E}(z, \mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}}\mathbf{E}(z, \mathbf{k}), \tag{5}
\]

where \( \mathbf{k} \) is the in-plane wave vector. For an \( s \)-polarized wave, the direction of \( \mathbf{k} \) determines the direction of \( \mathbf{E}(z, \mathbf{k}) \) as

\[
\mathbf{E}(z, \mathbf{k}) = E(z, \mathbf{k})\hat{\mathbf{e}}_z(\mathbf{k}), \quad \hat{\mathbf{e}}_z(\mathbf{k}) = \hat{\mathbf{e}}_z \times \hat{\mathbf{e}}_k, \tag{6}
\]

where \( \hat{\mathbf{e}}_z \), \( \hat{\mathbf{e}}_r \), and \( \hat{\mathbf{e}}_k \) are unit vectors describing directions of polarization, growth direction, and the direction of the in-plane wave vector, respectively. In what follows, we will omit the argument \( \mathbf{k} \) when it is clear from the context that the value of the scalar amplitude is taken at a fixed value of the in-plane wave vector.

Substituting Eq. (5) into Maxwell’s equation \([\text{Eq. (1)}]\) and choosing \( s \)-polarized component of the field according to representation (6), we derive the following equation for the scalar amplitude of the field:

\[
\frac{d^2E(z)}{dz^2} + \kappa^2(z)E(z) = -\frac{4\pi\alpha^2}{c^2} \sum_m \chi_m(\omega)\Phi_m(z) \int dz' \Phi_m(z')E(z') + \Sigma_m, \tag{7}
\]

where \( \kappa^2(z) = \omega^2n(z)/c^2 - k^2 \), and \( \Sigma_m \) is the component of the two-dimensional Fourier transforms of the source term \( \Sigma_m(\mathbf{r}) \) in the direction of \( \hat{\mathbf{e}}_z \):

\[
\Sigma_m(\mathbf{k}) = \hat{\mathbf{e}}_z(\mathbf{k}) \cdot \int d^2r \Sigma_m(\mathbf{r})e^{-ik\cdot\mathbf{r}}. \tag{8}
\]

Equation (7) is the starting equation for the formalism developed below.

B. Transfer-matrix approach to one-dimensional equations with sources

The reduction of the initial problem to the one-dimensional equation \([\text{Eq. (7)}]\) allows us to solve it using a transfer-matrix technique. A convenient formulation of this approach specifically adapted for the structures under consideration was developed in Ref. 24. The presence of the source terms in Eq. (7), however, requires some modifications of that approach, and the adaptation of the transfer-matrix method to inhomogeneous integrodifferential equations is one of the important technical results of this paper.

Without any loss of generality, we can consider a layer with the quantum well situated at \( z = 0 \) with the left and right boundaries at \( z_- \) and \( z_+ \), respectively. Inside a single layer, the summation over quantum wells in Eq. (7), as well as the well’s index, can be dropped, and we can rewrite this equation in the form of a second order inhomogeneous differential equation, in which polarization terms appear as the right hand side inhomogeneity:

\[
\frac{d^2E(z)}{dz^2} + \kappa^2(z)E(z) = F(z). \tag{9}
\]

A general solution of such an equation has the form\(^{31}\)

\[
E(z) = c_1h_1(z) + c_2h_2(z) + (G \ast F)(z), \tag{10}
\]

where \( h_{1,2}(z) \) is a pair of linearly independent solutions of the homogeneous equation

\[
\frac{d^2E(z)}{dz^2} + \kappa^2(z)E(z) = 0, \tag{11}
\]

and

\[
(G \ast F)(z) = \int_{z_-}^z dz' G(z, z')F(z'). \tag{12}
\]

Here, \( G(z, z') \) describes the linear response of a passive (without exciton resonances) 1D photonic crystal and can be expressed in terms of functions \( h_{1,2} \) as
\begin{equation}
G(z, z') = \frac{1}{W_h}[h_1(z')h_2(z) - h_1(z)h_2(z')]
\end{equation}

where the Wronskian, \(W_h = h_1 dh_2/dz - h_2 dh_1/dz\), does not depend on \(z\).

We choose \(h_{1,2}\) as real valued solutions of the Cauchy problem for Eq. (11) and use them to present the electric field at the left boundary of the elementary cell, \(z = z_-\), as
\begin{equation}
E(z_-) = c_1 h_1(z_-) + c_2 h_2(z_-).
\end{equation}

Combining Eq. (7) with Eqs. (10), (12), and (13), we can derive the following expression for the value of the field at the right boundary of the elementary cell, \(z_+\):
\begin{align}
E(z_+) &= h_1(z_+)[c_1 + \frac{4\pi\alpha^2}{c^2}\left(c_1 \varphi_1 + c_2 \varphi_2 + \frac{\Delta}{\sqrt{W_h}}\right)]
\nonumber \\
&+ h_2(z_+)[c_2 - \frac{4\pi\alpha^2}{c^2}\left(c_1 \varphi_1 + c_2 \varphi_2 + \frac{\Delta}{\sqrt{W_h}}\right)],
\end{align}

where \(\varphi_{1,2}\) are the “projections” of the exciton state onto the functions \(h_{1,2}\).
\begin{equation}
\varphi_{1,2} = \frac{1}{\sqrt{W_h}} \int_{z_-}^{z_+} d\tilde{z} \Phi(z) h_{1,2}(z).
\end{equation}

In Eq. (16), the integral is taken over the period of the structure (or over the elementary cell of the photonic crystal). In Eq. (15), we also have introduced the modified exciton susceptibility
\begin{equation}
\tilde{\chi} = \frac{\chi}{1 + \Delta \alpha\chi/\alpha},
\end{equation}

where
\begin{equation}
\Delta \omega = \frac{4\pi\alpha^2}{c^2} \int_{QW} dz \Phi(z) (G \ast \Phi)(z)
\end{equation}
is the radiative correction to exciton susceptibility in the photonic crystal.

Taking into account that the electric field at \(z = z_+\) can also be presented in the form of Eq. (14) with modified coefficients \(c_{1,2}\), we can describe the evolution of the field upon propagation across the elementary cell of the structure as a change in these coefficients. Using solution (15), the relation between the coefficients at different boundaries of the elementary cell can be found as
\begin{align}
\begin{pmatrix} c_1 \\ c_2 \end{pmatrix}(z_+) &= \tilde{T}_h \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}(z_-) + \begin{pmatrix} \Delta c_1 \\ \Delta c_2 \end{pmatrix},
\end{align}

where the two-dimensional vectors \((c_1, c_2)(z_-)\) and \((c_1, c_2)(z_+)\) represent the set of the respective coefficients, and \(\tilde{T}_h\) is the transfer matrix describing their evolution across the elementary cell written in the basis of the linearly independent solutions \(h_{1,2}\):
across the interface between two adjacent elementary cells. The problem is that initial conditions for these solutions are defined at some point inside a given cell, and in order to use them to describe the field in a different cell, one has to introduce the shift of variables \( z \to z - n d \), where \( d \) is the period of the structure and \( n \) is the number of periods separating the two cells. After that, one could express the functions with the shifted arguments as a linear combination of the original functions, but the most convenient way to describe the transition from one cell to another is to convert our transfer matrices to the basis of plane waves. In this basis, the field and its derivative are represented as a superposition of waves propagating along the \( z \) axis, 

\[
E = E_+(z)e^{iqz} + E_-(z)e^{-iqz},
\]

where \( q = \kappa_0(z) \), and can be naturally presented by a two-dimensional vector of the form 

\[
|E\rangle = |E_+\rangle + |E_-\rangle,
\]

where 

\[
|+\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |-\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}
\]

are the basis vectors of the respective vector space. More detailed description of the plane wave representation can be found in Ref. 24. The relation between the coefficients \( c_{1,2} \) and the amplitudes \( E_\pm \) is written as 

\[
\begin{pmatrix} E_+ \\ E_- \end{pmatrix}(z) = M(z) \begin{pmatrix} c_1 \\ c_2 \end{pmatrix}(z),
\]

where

\[
M(z) = \frac{1}{2} \begin{pmatrix} h_1(z) + \frac{h_1'(z)}{iq} & h_2(z) + \frac{h_2'(z)}{iq} \\ h_1(z) - \frac{h_1'(z)}{iq} & h_2(z) - \frac{h_2'(z)}{iq} \end{pmatrix},
\]

Applying rule (25) to Eq. (19), we obtain 

\[
|E\rangle(z_+) = T|E\rangle(z_-) + |v_m\rangle,
\]

where 

\[
T = M(z_-)T_0M^{-1}(z_-)
\]

is the transfer matrix through the entire period of the structure in the basis of plane waves. In the case of structures with the symmetrical elementary cell, this transfer matrix can be expressed as

\[
T = \begin{pmatrix} a & (\bar{a}f - af)/2 \\ (af - \bar{a}f)/2 & \bar{a} \end{pmatrix},
\]

where 

\[
a = g_2, \quad f = g_1 - iSg_2,
\]

\[
\bar{a} = g_2^*, \quad \bar{f} = g_1^* + iSg_2^*,
\]

and

\[
g_1 = \frac{1}{\sqrt{W_h}} \left[ h_1(z_+) + \frac{h_1'(z_+)}{iq} \right],
\]

\[
g_2 = \frac{1}{\sqrt{W_h}} \left[ ih_2(z_+) + \frac{h_2'(z_+)}{iq} \right].
\]

The functions \( g_{1,2}(\omega) \), which are obviously not unique, are chosen to make the transition to the limiting case of structures with spatially uniform refractive index clear. In this case, choosing \( h_1(0) = h_2(0) = 1 \), one has \( W_h = 1 \) and \( g_{1,2} = \exp(iqz_+) \). The function \( S(\omega) = -2\pi\omega^2\varphi_2^2/(qc^2) \) introduced in Eq. (29) quantifies the interaction of the excitons with light. For the single-pole form of \( \chi(\omega) \), it has the form

\[
S = \frac{\Gamma_0}{\omega - \omega_0 - \Delta\omega + iq},
\]

where \( \Gamma_0 = 2\pi\omega^2\varphi_2^2/c^2 \) is the radiative decay rate. Because of the direct relation between the functions \( \chi \) and \( S \) (they differ only by a factor slowly changing with frequency), we will, for brevity, refer to the function \( S(\omega) \) as the exciton susceptibility.

The source term \( T \) in the basis of plane waves takes the form

\[
|v_m\rangle = M(z_+) \begin{pmatrix} \Delta c_1 \\ \Delta c_2 \end{pmatrix} = -\frac{2\Sigma S_0}{\varphi_1} |s\rangle,
\]

where

\[
|s\rangle = \frac{1}{2iq} \begin{pmatrix} g_2 \\ -g_2^* \end{pmatrix}.
\]

The index \( m \) in the notation \( |v_m\rangle \) reminds that all the relevant quantities are considered for a given well and can differ from well to well.

III. RADIATIVE BOUNDARY CONDITIONS AND THE FIELD Emitted BY AN mTH WELL

Equation (27) expresses the field at the right boundary of the elementary cell in terms of the field given at the left boundary. Formally, it can be understood as the general solution of a Cauchy problem. The amplitudes \( E_\pm \) at the left boundary represent two independent parameters that can be chosen to satisfy any particular initial or boundary conditions. To represent a radiation coming out of the structure, the field must satisfy radiative boundary conditions that require that outside the structure, there must be only outgoing waves. Our objective now is, therefore, to find such \( E_\pm \) that would satisfy this condition. To this end, we consider an \( N \) layer structure embedded into an environment with the refractive index \( n_{out} \). Propagation of light across the interfaces between the terminal layers of the structure and the surrounding medium is described by the matrices

\[
T_{LR} = \frac{1}{1 + \rho_{LR}} \begin{pmatrix} 1 & \rho_{LR} \\ \rho_{LR} & 1 \end{pmatrix},
\]

where
Here, $z_{L,R}$ are the coordinates of the left and the right ends of the structure, respectively. The angle of propagation is determined by $\tan \theta(z) = k/\kappa(z)$. The outgoing waves propagate at the angles following Snell’s law: $n_{out} \sin \theta_{L,R} = n(z_{L,R})/\sin \theta(z_{L,R})$.

We impose the radiative boundary conditions assuming first that the sources are localized only in the $m$th layer. We require that in the half spaces $z < z_L$ and $z > z_R$, the field outside the structure would have the form of the wave propagating, respectively, to the left and to the right. The former field can be described by a basis vector $|\cdot\rangle$ of the two-dimensional vector space introduced in Eq. (24), $E = E_{m-\cdot}^-(\cdot)$ and the latter one is proportional to the other basis vector $|+, E = E_{+m}^-(\cdot)$). Using the results of the previous section, we can find the following relation between the fields outside the structure:

$$E_{m+}^-(\cdot) = T_R T(N, m+1)|v_m\rangle + E_{m-}^-T_R T(N, 1)T_{L \cdot}^\dagger|\cdot\rangle,$$

(36)

where

$$T(N, m) = T_N \cdots T_m$$

(37)

is the transfer matrix through the part of the structure obtained as a product of the transfer matrices through the individual layers. Equation (36) is obtained by directly applying Eq. (27) to the field at the left end of the structure. This state is transferred through the entire structure in a usual way by a simple multiplication of the transfer matrices describing each period of the structure. This procedure results in the term proportional to the transfer matrix $T(N, 1)$. Transfer across the luminescent layer resulting in an additional contribution is given by the second term in Eq. (27). After being emitted, this field is then transferred across the remaining $N-m$ layers, yielding the term proportional to $T(N, m+1)$. The matrices $T_{L,R}$ take into account reflection of the radiation at the interface between the terminal layers of the structure and the outside world.

Multiplication of Eq. (36) from the left by $\langle + |$ and $\langle - |$ gives the system of two inhomogeneous equations with respect to $E_{m}^\pm$. The solution of this system is

$$E_{m}^- = -\langle + | T_R T(N, m+1)|v_m\rangle \langle - | T_{PC} |\cdot\rangle,$$

$$E_{m}^+ = +\langle + | T_R T(N, 1)T_{L \cdot}^\dagger|\cdot\rangle \langle - | T_{PC} |\cdot\rangle,$$

(38)

where $T_{PC} = T_R T(N, 1)T_{L \cdot}^\dagger$ is the transfer matrix through the whole structure including the interfaces between the terminating layers and the surrounding medium.

Equations (38) can be rewritten in the following form:

$$E_{m}^\pm = -\frac{4\pi \omega^2}{c^2} \Sigma_{j \iota} \varphi_j \bar{G}_\pm(m),$$

(39)

where

$$G_\pm(m) = \pm t_0 (\pm |T_{\mp}^-| T_{\rho \cdot} |\cdot\rangle),$$

(40)

Deriving these expressions, we took into account the definition of the transmission coefficient through the whole structure $t_0$ in terms of the transfer matrix $T_0 = (-|TR|)^{-1}$ and introduced partial transfer matrices $T_{\mp}^- = T_0^{-1}(m, 1)T_{\rho \cdot}$ and $T_{\cdot}^- = T_0^{-1}(N, m+1)T_{\rho \cdot}^{-1}$, which have the property $T_{\mp}^- T_{\cdot}^- = T_{PC}$. Below, we will concentrate mostly on the case when the elements of the structure and the structure itself have the mirror symmetry. As a result, the index mismatch between the surrounding medium and the terminal layers is the same for both boundaries, so that one has $T_L = T_R$. The representation given by Eq. (39) allows interpreting the quantity $G_\pm(m)$ as a Green’s function describing the field emitted by a unit source.

Equation (38) can also be used to find the distribution of the field created by the source inside the structure. This can be achieved in two different ways. One can start, for instance, with field $E_{m}^\pm(\cdot)$ on the left-hand side of the structure and propagate it across using transfer matrices. When a luminescent layer is reached, Eq. (27) should be employed to describe transfer across it. Alternatively, one can propagate $E_{m}^-|\cdot\rangle$ to find field in the elementary cells to the left of the luminescent layer and propagate $E_{m}^+(\cdot)$ from the right to determine field in the cells to the right of it.

IV. LUMINESCE NCE SPECTRUM OF RESONANT PHOTONIC CRYSTALS

A. Quasiclassical approach to luminescence

In this section, we will apply the general results of the previous sections to the problem of the luminescence spectrum of multiple-quantum-well based resonant photonic crystals. Luminescence is one of the manifestations of spontaneous emission, and as such is a purely quantum electromagnetic phenomenon. At the same time, the noncoherent radiation produced due to luminescence in many situations can still be described as classical electromagnetic field with randomly changing amplitude and phase. Such a quasiclassical approach to spontaneous emission has been used successfully in a number of different situations.

One of the methods of reproduction of noncoherent classical field is a Langevin-like approach, in which the polarization sources appearing in macroscopic Maxwell’s equations [Eq. (1)] are considered as random functions of time and coordinates whose statistical characteristics should be determined either from experiment or from fully quantum microscopical theory. In what follows, we will characterize statistical properties of the resonant source function $\Sigma_{\mu}(p, t)$ by a correlation function

$$\langle \Sigma_{\mu}(p, t) \Sigma_{\nu}(p', t') \rangle = \delta_{p, p'} \delta_{m, m'} K_2(p - p', t - t'),$$

(41)

where $\langle \cdot \cdot \rangle$ signifies statistical averaging over various realizations of the noncoherent exciton polarization, indices $i$ and $j$ designate Cartesian coordinates in the $(x, y)$ plane, and $m$ and $l$ are well numbers. Equation (42) implies that the fluctuations of the noncoherent exciton polarization are (i) statistically uniform in time and space, (ii) the direction of
the noncoherent polarization is distributed isotropically in the plane of the structure, with various components of the polarization vector independent of each other, and (iii) the source functions in different wells do not correlate with each other.

This correlation function can only be found from a microscopical theory of electron-hole relaxation processes. An example of such a theory, which provides a more quantitative justification for our phenomenological approach and shows relation of this correlation function to microscopic characteristics of quantum wells, is presented in the Appendix. These calculations demonstrate that all three assumptions regarding properties of the source correlations are justified. The most important of them is the assumption of independence of the source functions in different wells. This assumption can be understood by noting that as explained in the Appendix, the source term \( \Sigma_m(\rho, t) \) is determined by excitons populating nonradiative states so that the electromagnetic field associated with them decays exponentially outside of the wells. In the case of wide barriers, one can neglect not only electronic but also electromagnetic coupling between these states.

The correlation function for the Fourier transformed source function \( \tilde{\Sigma}_m(\omega, k) \) is given by the spectral density \( \Xi_m(\omega, k) \) defined as

\[
\langle \tilde{\Sigma}_m(k, \omega) \tilde{\Sigma}_m(k', \omega') \rangle = \Xi_m(\omega, k) \delta(\omega - \omega') \delta(k - k') \delta_{m1},
\]

which is a Fourier transform of the time-position correlation function given in Eq. (41). The field created by such source is characterized by a spectral intensity \( \mathcal{I}(k, \omega) \) defined as

\[
\langle E(k_1, \omega_1)E(k_2, \omega_2) \rangle = \mathcal{I}(k_1, \omega_1) \delta(k_1 - k_2) \delta(\omega_1 - \omega_2).
\]

Applying Eqs. (39) and (42) to Eq. (43), we find the spectral intensity of radiation emitted by the entire structure in the form

\[
\mathcal{I}_m(\omega, k) = 4 \sum_{m} \Xi_m(\omega, k) |g_s(m; \omega, k)|^2 \left| \frac{qS_m(\omega, k)}{\varphi_{m1}} \right|^2,
\]

which implies that the field emitted by different wells adds in a noncoherent way. This general expression allows analyzing both the frequency and the directional dependence of the luminescence spectrum. In this work, we restrict our consideration to the waves emitted along the growth direction of the structure (i.e., \( k=0 \)).

Equation (44) shows that the form of the luminescence spectrum is determined by several factors with different frequency dependencies. The exciton excitibility \( S(\omega) \), for instance, strongly reduces the luminescence far away from the exciton frequency \( \omega_0 \). The spectral density \( \Xi(\omega) \) is expected to show a weak frequency dependence at the scale of the width of the polaron stop band. The factor \( |g(m; \omega, k)|^2 \), according to Eq. (40), is the product of two terms. One is the transmission coefficient \( t_m \), which may have strong frequency dependence following the singularities at the eigenfrequencies of the quasimodes of the structure. These singularities determine the fine structure of the luminescence spectrum. The second term is responsible for the variations in the luminescence intensity at a much larger scale. In the subsequent parts of this section, we use Eq. (44) to analyze spectra of luminescence of several types of MQW structures.

### B. Luminescence spectrum of finite periodic structures

#### 1. General expression for intensity of emission

First, we consider structures built of identical layers in which \( \varphi_{m1}=\varphi_1 \) and \( S_m=S \). We will also assume that the terminating layers of the structure are half barriers so that the entire structure possesses the mirror symmetry and the luminescence spectrum is the same at the both sides of the structure. For concreteness, we will consider the field radiated to the right (i.e., \( E_+ \)). In this case, we can exactly calculate the sum over the quantum wells in Eq. (44) using the fact that all partial transfer matrices can be presented in the following form:

\[
T(\theta, \beta) = \begin{pmatrix} \cos \theta - i \sin \theta \cosh \beta & -i \sin \theta \sinh \beta \\ i \sin \theta \sinh \beta & \cos \theta + i \sin \theta \cosh \beta \end{pmatrix},
\]

where

\[
\cos \theta = \frac{1}{2}(a\bar{f} + \bar{a}f), \quad \tanh \beta = \frac{\bar{a}f - af}{af - \bar{a}f}.
\]

The parameter \( \theta \) determines the polaron spectrum of an infinite structure and is defined as \( \theta=Kd \), where \( K \) is the polaron Bloch wave number.

The representation (45) is convenient because all \( T_-(m) \) are characterized by the same \( \beta \), and the dependence of \( m \) has the following simple form:

\[
T_-(m) = e^{im\theta}U(\beta/2) + (|U^{-1}(\beta/2) + e^{-im\theta}U(\beta/2)|-)
\]

\[
\times(|U^{-1}(\beta/2)|),
\]

where \( U(\beta/2)=T_pT_H(\beta/2) \), and \( T_H \) is a matrix describing a hyperbolic rotation with a dilation,

\[
T_H(\beta) = e^{\beta \left( \begin{array}{cc} \cosh \beta & -\sinh \beta \\ -\sinh \beta & \cosh \beta \end{array} \right)}.
\]

Matrix \( T_p \) takes into account reflection and transmission at the external boundaries of the system.

Using Eq. (47), one can find

\[
\frac{4|\bar{g}|^2}{|S|^2} \sum_m |g_s(m)|^2 = \sinh N\theta' \left[ |A|^2 e^{-N(\pi/2)} + |B|^2 e^{N(\pi/2)} \right]
\]

\[
+ \sinh N\theta' \left( A^* B e^{i\theta'} + A B^* e^{-i\theta'} \right),
\]

where we have introduced the real and imaginary parts of the dimensionless Bloch number \( \theta' = \theta' + i\theta '' \), and parameters

\[
A = \frac{1}{1+\rho} \left[ (g_{22} - \rho g_{21}) \cosh (\beta/2) - \frac{1}{2} (g_{21} - \rho g_{22}) \sinh \beta \right].
\]
While Eq. (49) describes luminescence of a periodic MQW structure with an arbitrary period, we shall focus our attention to the most interesting cases of Bragg and near-Bragg structures, in which effects of periodic modulation of the refractive index and light-exciton coupling are most pronounced. As we already mentioned in the Introduction, the period $d$ of such structures satisfies a special resonance condition $\omega_0 = \omega_B(d)$, where the exact value of the resonant frequency $\omega_B$ in systems with periodically modulated refractive index depends not only on the period of the structure but also on details of the modulation. For concreteness, we will assume that the dielectric function reaches its maximum value at the quantum well and monotonously decreases toward the boundaries of the elementary cell. In this case, the Bragg resonance takes place when the exciton frequency coincides with the high-frequency boundary of the photonic band gap, $\Omega_\perp$. Since the details of the emission spectrum are determined to a large extent by the electromagnetic band structure of the systems under consideration, it is useful to note the main features of this structure, which were analyzed in detail in a number of papers. Figure 2 shows the dependence of band boundaries of MQW structure on its period, where shaded regions correspond to polaron stop bands. One can see that at a certain value of $\bar{\omega} = \pi c / (n_w d_w + n_b d_b)$, where $n_w, n_b, d_w,$ and $d_b$ are the refractive indices and thicknesses of well and barrier layers, respectively, two stop bands connect at the exciton frequency $\omega_0$, forming a single wide band gap. This is the point of the Bragg resonance, when exciton frequency falls inside a stop band of the spectrum, whose width can be much larger than the width of the exciton resonance. (The second occurrence of a single band situation at larger values of $\bar{\omega}$ results from the collapse of one of the gaps and is a result of random degeneracy between two exciton-polariton branches.) Spectrum of structures only slightly detuned from the Bragg condition (we will use the term quasi-Bragg for such structures) is characterized by the emergence of a propagating band between the two stop bands. The exciton frequency in this case belongs to the boundary of the propagating band, which is situated symmetrically with respect to the outer band boundaries: for negative detunings, $\omega_0$ is closer to the upper boundary, while for positive detuning, the lower polaron branch eventually moves closer to it.

Equation (49) demonstrates how the polaron band structure affects the luminescence of the system under consideration. One can see from this equation that the structure of the spectrum is characterized by two scales of frequencies. On a smaller scale, the modulations of the intensity of emission are determined by the $|f_{\nu}|^2$ term in Eq. (49), whose maxima correspond to the real parts of the polaron eigenfrequencies. The modulation of intensity on this scale depends on the number of periods in the structure and occurs over frequency intervals of the order of $v_s / (dN)$, where $v_s$ is the group velocity of the polariton excitations and $d$ is the period of the structure. The polaron band structure affects the luminescence on a much larger spectral scale through the combination of the exciton susceptibility $S(\omega)$ and the imaginary part of the polaron Bloch number presented by the parameter $\theta^\prime$.

Homogeneous and inhomogeneous broadenings significantly affect the short-scale modulations of the luminescence, allowing for their observation only in high quality samples at very low temperatures. At higher temperatures, the long-scale variations of intensity, which depend significantly on relations between $\omega_0$ and $\omega_B$, become predominant. In the case of Bragg structures, when $\omega_0$ is very close to $\omega_B$, Eq. (49) predicts that the luminescence spectrum is mostly concentrated outside of the polaron stop band near the edges of the bands of the exciton polaritons. Indeed, at frequencies inside the forbidden gap, the contribution to $\mathcal{I}(\omega)$ of the exponentially large terms in the right-hand side of Eq. (49) is canceled by the exponentially small transmission at these frequencies. As a result, only wells within the attenuation length from the boundaries contribute to the radiated field. Besides, at frequencies far away from the $\omega_0$, the luminescence is subdued by the smallness of the exciton susceptibility. These qualitative arguments can be supported by direct calculation of the emission intensity in the neighborhood of the band edges, where Eq. (49) can be simplified. In this spectral region, we can represent the spectral parameter as $\theta = \pi + i \epsilon$ and assume that $\epsilon$ is sufficiently small, so that $N|\epsilon| \ll 1$. Obviously, this approximation covers a substantial interval of frequencies only for not very long structures, but it is quite sufficient for a qualitative analysis of realistic structures.

Expanding Eq. (44) in a power series with respect to the small parameter $\epsilon N$, we can approximate it by the following simple expression:

$$\mathcal{I}(\omega) \approx N \mathcal{E}(\omega)|f_{\nu}|^2 \left| \frac{S(\omega)}{\varphi_1} \right|^2 \frac{\hbar^2 \gamma^2}{W_h}. \quad (51)$$

An important result immediately demonstrated by this equation is a linear increase of the intensity of the emission with the number of quantum wells. This is an expected behavior...
because of the transparency of the structure at these frequencies and the independence of the contributions of different wells to the emitted light. Another important conclusion following from Eq. (51) is a relative weakness of the luminescence of the Bragg structures. The cause of the decrease in the emission is related to the presence of a broad polariton stop band in such structures whose width \( \Delta \), given by the expression \( \Delta = \sqrt{2T_{0}}/\pi \), is much larger than the width of the exciton susceptibility \( S(\omega) \) determined by nonradiative decay, \( \gamma \). In the interior of the stop band, the luminescence is suppressed by the small transmissivity of the structure in the vicinity of the exciton resonance, while at the edges of the stop band it is reduced by the factor of \( \Gamma_{0}/\omega_{0} \leq 1 \) because of the separation of the band boundaries from the exciton frequency. The found decrease in luminescence for Bragg structures is equivalent to the so-called subradiance effect obtained in Ref. 25 on the basis of the fully quantum calculations. We demonstrate here that this effect has a purely classical origin and is caused by the formation of polariton stop band in Bragg MQW systems.

Detuning from the Bragg resonance opens up a transparency window inside the stop band with exciton frequency coinciding with one of the band boundaries (Fig. 2). On the base of the same arguments as above, one can expect that the emission spectrum is characterized by two maxima: the stronger one in the vicinity of the boundary of the propagating band adjacent to \( \omega_{0} \) and the second, weaker, maximum at the boundary of the outer polariton band, which is closer to the exciton frequency. The second outer boundary of the polariton band is so remote from the exciton frequency that its contribution to emission can be neglected. Numerical calculations carried out with the exact form of \( I(\omega) \) confirm these conclusions. The results of these calculations are presented in Fig. 3, where we show the dependence of the intensity on frequency and the period of the structure. The intensity is shown by the shading on the graphs—the darker shading corresponds to higher emission. In order to facilitate a better understanding of the role of the refractive index contrast, we simulated two types of structures: one with a realistic changes in the refractive index between wells and barriers and the other in which refractive index was assumed constant throughout a structure. The latter structures are often called optic lattices because all the modifications in their optical properties come from the radiative coupling between quantum well excitons. It is interesting to see a significant difference between the luminescence spectra of MQW optical lattices and MQW based photonic crystals. The latter is asymmetric with respect to the point of the Bragg resonance, while the former shows complete symmetry. This feature is clearly related to the asymmetrical structure of the polariton band gap in structures with modulated refraction index. 24 In order to emphasize the relationship between the luminescence spectrum and polariton band structure, the spectrum in this figure is presented together with the polariton stop band. The latter is shown with the help of level curves of the imaginary part of the dimensionless Bloch vector \( \theta' \). In an ideal system without any broadenings, \( \theta' \) would have been zero everywhere outside of the stop band. In real systems, of course, \( \theta' \) is not zero everywhere because of the exciton broadening. This makes the notion of the stop band not very well defined, and, in particular, the edges of the gap cannot be determined unambiguously. However, at the frequency which would correspond to the band edge in a system without broadening, the imaginary part of the polariton Bloch wave number drastically increases. This increase can be traced on the level curves of \( \theta' \) in Fig. 3, where outer curves correspond to the smallest value of \( \theta' \). It is seen that the maxima of the luminescence spectrum approximately follow these lines when the relation between the exciton frequency and the period of the structure changes. The exact position of the maxima is determined by an interplay between a smaller value of \( \theta' \) (and, hence, a higher transmission) and a smaller distance from the exciton frequency [a higher value of \( S(\omega) \)]. Comparing the spectrum shown in Fig. 3 with the band struc-
ture shown in Fig. 2, one has to note the different frequency scales of these figures. The frequency region covered in Fig. 3 includes only the small transparency window around the exciton frequency and only the closest to it outer polariton band.

For sufficiently smaller value of the exciton broadening, the fine structure becomes clearly visible as is seen in Fig. 4. As we mentioned above, the maxima of the luminescence forming this fine structure result from the periodic dependence of the transmission on frequency. These maxima appear as the characteristic scars on the spectrum presented in this figure. More clear representation of these features of the luminescence spectrum can be given by direct plotting of the intensity as function of frequency for different values of the detuning of the structure from the Bragg resonance, as shown in Fig. 5, which was obtained neglecting the modulation of the refractive index.

2. Comparison with experiment and the role of inhomogeneous broadening

Comparing our calculations with experimentally observed spectra, one should take a few considerations into account. First of all, the direct quantitative comparison is rather difficult because the experimental spectra are influenced by details of the entire experimental sample, and not just by its MQW part. For instance, the details of the cladding layer can significantly influence the observed luminescence spectrum. In order to illustrate this point, we used the general formulas derived in this paper to calculate the emission intensity in the presence of the cladding layer. The results of these calculations are shown in Fig. 6, where one can notice significant changes introduced by the cladding layer to the spectra. Second, in photoluminescence experiments with long MQW structures, the intensity of pump radiation is not uniform along the structure, which results in different source functions for different wells. This circumstance also affects observed spectra as can be demonstrated by direct computa-

![Graph](image1)

**FIG. 4.** The fine structure of the luminescence spectrum. The parameters of the structures are the same as in Fig. 3 except $\gamma=30 \mu\text{eV}$. For better visibility, the shadow intensity is chosen according to the logarithmic scale. (a) The MQW structure with a homogeneous dielectric function. (b) The MQW based photonic crystal.

![Graph](image2)

**FIG. 5.** (Color online) Fine structure of the PL spectra for the 100 well MQW structures with different periods. Curves 1, 2, and 3 are calculated for the period, respectively, smaller, equal, and larger than the Bragg resonance value. The indices of refraction of wells and barriers in this calculation were assumed equal to each other.

**FIG. 6.** (Color online) The same spectra as in Fig. 5 but with cladding layer of thickness $d_c=d_b+d_w/2$. 

075350-10
EXCITON LUMINESCENCE IN ONE-DIMENSIONAL…

FIG. 7. (Color online) The luminescence spectrum of the almost Bragg 100 layer structure with exponentially decaying source term characterized by the decay rate $\alpha$: curve 1 corresponds to $\alpha=0$, curve 2 to $\alpha=0.1$, and curve 3 to $\alpha=0.2$.

...ions using general formulas obtained in this section. To this end, we assumed that the source function $\Xi_m(\omega)$, which appears in Eq. (44), can be presented as an exponentially decreasing function of the well number $m$, $\Xi_m(\omega) \approx \exp(-\alpha m d)$, where the parameter $\alpha$ represents an inverse attenuation length of the pump. Using this representation for the source function in Eq. (44), we numerically calculated emission intensity with different values of the parameter $\alpha$. The results of these calculations are shown in Fig. 7, where luminescence spectra with $\alpha=0$ and $\alpha=0.2$ are compared. This figure clearly demonstrates that inhomogeneity of the source function can have a significant impact on the observed spectra.

Having in mind the mentioned circumstances, we will not attempt to quantitatively reproduce experimental spectra, focusing instead on the most significant features, which most likely have intrinsic origin. First of all it should be noticed that our calculations agree with experimental results concerning the position of the luminescence maxima. At the same time, the situation with relative intensities of the peaks is more complicated. Comparing experimental results of Refs. 29 and 39, one can notice that despite of quantitative difference between these two experimental spectra, they share one common feature, which is, at the same time, is in a striking contrast with the results of our calculations. According to our predictions, the luminescence must be most intense in the vicinity of the exciton frequency, while the experiments show that out of the two most pronounced maxima of the emission, the one which is farther away from $\omega_0$ is brighter. One probable reason for this discrepancy is the inhomogeneous broadening of excitons, which has not yet been taken into account in our calculations. In this work, we include effects due to the inhomogeneous broadening into consideration using a simple model of effective medium.\(^{30,40}\)

Within this model, one neglects spatial dispersion of excitons and assumes that inhomogeneous broadening is caused by spatial fluctuations of exciton frequency $\omega_0$. It is further assumed that these fluctuations can approximately be taken into account by replacing exciton susceptibility, Eq. (3), in all relevant equations, with its average value

$$\chi(\omega)_{\text{eff}} = \int \frac{\alpha}{z - \omega - i\gamma} \rho(z) dz, \quad (52)$$

where $\rho(z)$ is a distribution function of exciton frequencies, which, in the case of a not very strong inhomogeneous broadening, can be approximated by a Gaussian. This model of the inhomogeneous broadening was first introduced in Ref. 40 on heuristic basis for calculations of reflection and transmission spectra of MQW structures, and later more rigorously justified in Ref. 30.

The results of numerical computation of emission spectra with Eq. (52) for exciton susceptibility are presented in Figs. 8 and 9. The first of these figures shows that taking into account the inhomogeneous broadening resulted in spectral redistribution of the emission intensity from peaks closer to the central exciton frequency to those that are farther away from it. The latter are now brighter than the former in a qualitative agreement with experimental spectra. This point is demonstrated even more clear in the second of these figures, which shows emission spectra for three values of detuning from Bragg resonance. Qualitatively, this effect can be understood by noting that by averaging the exciton susceptibility, we essentially smoothed its resonance dependence on the frequency reducing, therefore, effect of decreasing susceptibility on the emission intensity. In this situation, the intensities of luminescence peaks are determined by an interplay between effects due susceptibility and transmissivity of the structure. These calculations show that inhomogeneous broadening can be, in principle, responsible for the observed luminescent spectra, while it is clear that a quantitative agreement with experiment would require more rigorous treatment of inhomogeneous broadening as well as taking into account such effects as inhomogeneity of pump and cladding layers.
side and by a homogeneous dielectric medium with refractive index \( n_b \) on its right-hand side. The derivation is based on the statement that in equilibrium, total photon flux out of the system must be equal to zero. This total flux includes the flux of emitted photons, \( \Phi_{\text{em}} \), and the flux of the incident and transmitted and/or reflected photons, \( \Phi_i, \Phi_r, \) and \( \Phi_r, \) respectively. \( \Phi_{\text{em}} \) can be calculated as

\[
\Phi_{\text{em}} = I_0(\omega) dq_x dq_y dq_z / (2\pi)^3,
\]

where \( I_0 \) is the equilibrium luminescence intensity, and \( q_x, q_y \) represent conserving in-plane components of the photons’ wave vector. The incoming and reflected fluxes in vacuum can be presented as

\[
\Phi_i = \hbar \omega N_{\text{ph}}^0(\omega) [1 - R(\omega)] dq_x dq_y dq_z / (2\pi)^3,
\]

\[
\Phi_r = \hbar \omega N_{\text{ph}}^0(\omega) R(\omega) dq_x dq_y dq_z / (2\pi)^3,
\]

where \( N_{\text{ph}}^0(\omega) \) is the equilibrium photon occupation number. The last contribution to the flux of photons in vacuum comes from the photons transmitted from the medium on the right-hand side of the structure. This flux can be written down as

\[
\Phi_t = c \hbar \omega N_{\text{ph}}^0(\omega) \tilde{T}(\omega) dq_x dq_y dq_z / (2\pi)^3 = \hbar \omega N_{\text{ph}}^0(\omega) \tilde{T}(\omega) dq_x dq_y dq_z / (2\pi)^3,
\]

where \( \tilde{T} \) stands for the transmission coefficient of light incident on the system from the right-hand side, and we took into account the refractive index \( n_b \) of the medium on the right. Combining Eq. (54) with Eqs. (55) and (56) and with the requirement of the zero total flux, we can write down

\[
\hbar \omega N_{\text{ph}}^0(\omega) [1 - R(\omega) - \tilde{T}(\omega)] = I_0(\omega).
\]

Taking into account Eq. (53) and the fact that due to the time reversal symmetry transmission coefficients \( \tilde{T} \) for the wave incident from right are equal to the one describing waves incident from left, we obtain a final form of the Kirchhoff’s law for one-dimensional layered structures,

\[
I_0(\omega) = \hbar \omega N_{\text{ph}}^0(\omega) A(\omega).
\]

Equilibrium photon distribution function at low temperatures \( k_B T \ll \hbar \omega \) can be approximated by \( \exp(-\hbar \omega / k_B T) \).

The derivation of Eq. (58) is based on two main assumptions: zero photon flux and time reversal symmetry, both of which are valid for any kind of steady state, not necessarily equilibrium, situations. In nonequilibrium cases, however, the concept of photon distribution function \( N_{\text{ph}}(\omega) \), appearing in Eq. (58), becomes ambiguous, and generalization of this equation to these situations is not straightforward. Nevertheless, under certain circumstances, a relation similar to Eq. (58) can be obtained for such nonequilibrium phenomena as luminescence under steady-state excitation. Results of numerous studies of exciton photoluminescence in QW (see, for instance, Refs. 27 and 41) indicate that in the case of nonresonant photoexcitation of luminescence at the moder-
ately low temperatures where the main contribution to luminescence already comes from free excitons, the following kinetics of luminescence can be assumed. Originally, excited electron-hole pairs first relax through phonon-assisted processes to high energy states with in-plane wave numbers \( k \) corresponding to nonradiative "dark" excitons.\(^{31}\) These states live long enough to come in quasi-equilibrium with the crystal lattice, so that they can be characterized by a Boltzmann distribution \( f_B(E) \propto \exp[\mu / k_B T] \). The dark exciton acts as a source of exciton luminescence, and, according to calculations presented in the Appendix, the photoluminescence intensity \( I(\omega) \) is a linear functional of the exciton distribution function \( f_B(E) \). The term \( \exp(\mu / k_B T) \) in the Boltzmann distribution can be factored out, and the remaining expression reproduces equilibrium emission intensity. Thus, for the intensity of the luminescence, we can write \( I(\omega) = \exp(\mu / k_B T) I_0(\omega) \), from which it follows that \( I(\omega) \) and \( A(\omega) \) are related to each other as

\[
I(\omega) = \hbar \omega \exp(\mu / k_B T) N_{ph}(\omega) A(\omega). \tag{59}\]

Experimental verification of the relation given by Eq. (59) can provide useful qualitative information about the distribution of excitons and their kinetics either this relation is confirmed or not. Equation (59) can be applied to emission and absorption of each QW constituting the structure, and if the distribution functions of excitons are identical in each well, to the entire MQW structure as well.

The established relation between emission and absorption is based on thermodynamical arguments and depends little on the details of the structures under consideration. It appears useful to compare this relation with the one derived on the basis of the solution of Maxwell’s equations for the particular model of MQW structure considered in this paper. To this end, it is more convenient to use an alternative expression for absorption, which follows directly from the definition of Poynting vector and energy conservation:

\[
A = - \int \mathbf{S} \cdot d\mathbf{a} / S_0 L_v L_y, \tag{60}\]

where \( \mathbf{S} \) is the Poynting vectors of outgoing radiation, respectively, and \( S_0 \) and \( L_v, L_y \) are the magnitude of the Poynting vector of the incoming radiation and the transverse dimensions of the sample, respectively; the integral is taken over a surface enclosing the entire sample. The particular convenience of Eq. (60) for 1D structures stems from the fact that this expression allows for expressing the absorption in terms of the fields at the boundaries of the structure. Assuming that the incoming radiation impinges on the structure from the left, where the space is filled with the medium with refractive index \( n_L \), one can obtain for the absorption coefficient

\[
A = \frac{1}{2k_L |E_0|^2} \left( E_z^* \frac{dE^*}{dz} - E_z \frac{dE}{dz} \right)_{z = 0} \tag{61}\]

where \( k_L \) is the wave number of the field in the surrounding medium to the left of the sample, and \( E_0 \) is the electric field amplitude of the incoming radiation. Using Eq. (7), one can present Eq. (61) as

\[
A = \frac{4 \pi \omega^2}{k_L c^2} \left[ \int_{QW} dz \Phi_m(z) E(z) \right]^2 \text{Im } \chi_m. \tag{62}\]

In order to find a relation between the absorption and the emission spectra, we calculate the absorption of the wave of unit intensity incident normally from the left. The electric field inside each well, and respective integrals in Eq. (62), can be found using standard transfer-matrix technique (see, for instance, Refs. 22 and 37). As a result, Eq. (62) can be presented in the form explicitly containing the same Green’s functions as one used in this paper, Eq. (40):

\[
A = \frac{c}{n_L \pi \omega} \sum_m \text{Im } \chi_m \left| \frac{4 \pi \omega^2}{c^2} \tilde{X}_m \right|^2 |G(m)\varphi_m|^2. \tag{63}\]

Comparing this expression with Eq. (44), we can relate the emitted intensity to the absorption coefficient for a single mth well of the structure,

\[
\mathcal{I}_m(m) = \frac{\pi n_L \omega \Xi_m |\chi_m|^2}{c \text{Im } \chi_m} A(m) = \frac{\pi n_L \omega \Xi_m \alpha}{c \gamma} A(m), \tag{64}\]

where, in the second expression, we assumed that exciton susceptibility has a Lorentzian form and is described by Eq. (17). This assumption can be violated under several circumstances, for instance, when several exciton levels spectrally overlap and contribute to the emission\(^{12}\) or in the presence of the inhomogeneous broadening of excitons. If the latter case is treated in the effective medium approximation, as was discussed previously in this paper and in Refs. 30 and 40, it results in an effective susceptibility with non-Lorentzian shape.

In order to derive a global relation between emission and absorption for the entire structure, Eq. (64) has to be summed over all wells. If all wells are identical, i.e., the source functions and nonradiative decay rates in all wells are the same, the summation is trivial, and the global relation between absorption and emission coefficients has again the form of Eq. (64). Comparing this result with Eq. (59), we can establish the relationship between microscopic parameters such as the strength of the exciton-light coupling, characterized by \( \alpha \), nonradiative decay rate \( \gamma \), the source function \( \Xi \), which is proportional to polarization correlation function, and photon distribution function \( N^{(0)}_{ph} \),

\[
\hbar N^{(0)}_{ph}(\omega) = \frac{\pi}{c} \exp \left( - \frac{\mu}{k_B T} / \frac{\Xi(\omega) \alpha}{\gamma(\omega)} \right). \tag{65}\]

Since the photon distribution function is practically independent of frequency on the scale of frequencies considered in this paper, Eq. (65) is consistent with an assumption that both \( \gamma \) and \( \Xi \), are frequency independent quantities. Taking into account also that the \( 1/\omega \) term in Eq. (64) also changes very weakly on the same scale, we can conclude on the basis of both Eqs. (58) and (64) that emission and absorption spectra in our case are directly proportional to each other: \( \mathcal{I}_m(\omega) \propto A(\omega) \). Numerical evaluation of the respective expressions completely confirms this conclusion.
The assumption of all wells being the same, however, may violate in photoluminescent experiments due to attenuation of the pumping radiation. As a result, different wells may be characterized by different exciton distribution functions and, consequently, by different source functions. In this case, while Eq. (64) remains valid locally for any particular well, its global version is not true anymore. This results in loss of the direct proportionality between absorption and emission spectra of our structures. This is clearly seen from Fig. 7, which shows modification of emission intensity caused by attenuation of pump, while absorption spectra are obviously not affected by this circumstance.

V. CONCLUSION

In the present paper, we studied spectrum of noncoherent radiation emitted by one-dimensional resonant photonic crystal structures. While for concreteness we focused on exciton luminescence in multiple-quantum-well structures, the general theoretical framework developed in this work can be applied to other structures of this sort. The results obtained in this paper can be classified in two groups. First, we have developed a powerful method of solving the general linear response type of problems for one-dimensional layered structures of general type. The problem of luminescence of one-dimensional resonant photonic structures is just one example of such problems, in which one is looking for the radiative response of the system caused by incoherent periodically distributed emitters. Our approach allows expressing Greens' function of the structure in terms of transfer matrices describing propagation of the radiation through the system. As a result, we are able to present the spectrum of the emitted light in terms of reflection and transmission coefficients of the structure in question. Also, with the help of a special version of transfer-matrix description of transmission and/or reflection properties of resonant photonic crystals developed in recent Refs. 24 and 37, we were able to obtain a closed analytical expression for the spectrum of luminescence of a resonance photonic crystal structure with an arbitrary number of identical periods. An important characteristics of these general results is that they are obtained in terms of particular solutions of an initial value problem for a structure with an arbitrary spatial profile of the refractive index. The latter problem can always be easily solved either analytically or, in most cases, at least numerically, and, therefore, emission characteristics are expressed in terms of easily accessible quantities in our approach.

The second group of the results is concerned with the application of our general formalism to the particular case of Bragg or near-Bragg multiple-quantum-well structures. We analyzed the luminescence spectrum of these structures and established its main qualitative and quantitative characteristics. In particular, we explained the absence of luminescence in the spectral region of polariton stop band, which was shown to be due to a combination of two factors: diminishing of transmission of light through the structure in the vicinity of the exciton frequency and a significant spectral separation of the latter from transparent regions because of formation of the wide band gap. It is interesting to note that this result agrees with quantum-field calculations of Ref. 25, where similar effect was called “subradiance.” Our calculations show, however, that this effect can be explained on purely classical ground.

We also considered modification of the spectrum when the period of the structure becomes slightly detuned from the exact Bragg conditions. Comparison of our calculations with experimental spectra demonstrated an important role played by inhomogeneous broadening of excitons in the formation of the spectra of luminescence of the structures under consideration. We also showed that these spectra are influenced by a great deal of other effects such as attenuation of the pump or presence of cladding layers in the structure. We found that our calculations produce good agreement with experiment in terms of positions of the peaks of the luminescence, but not for the relative height of the peaks. This, however, is not very surprising, because the intensities of the peaks depend on many various circumstances. One of the most intriguing effect, which was not considered in the paper, but which could significantly influence the distribution of the luminescence intensity between its peaks, is acoustic-phonon-induced scattering between collective exciton-polariton states formed in quasi-Bragg MQW structures. This possibility is supported by the fact that spectral separation between luminescence peaks in typical experiments is of the same order of magnitude (1 meV) as an average energy of acoustic phonons in GaAs. Consideration of this effect is out of the scope of the current paper but will be presented in the subsequent publications.

Since our approach relies on transfer-matrix calculations, it can be easily adapted to study structures with intentionally introduced “defects” (for instance, a quantum well with different characteristics or a barrier with a different width). Effects of such defects on reflection and/or transmission properties of Bragg MQW structures was studied extensively, and it is natural to expect that defects will have a strong influence on the luminescence as well. While this topic is out of the scope of the present paper and will be discussed in subsequent publications, some preliminary calculations showing the effects of defects on luminescence can be found in Ref. 49.

In order to achieve a better understanding of luminescence of the quasi-Bragg structures, one needs additional experimental data, which would provide information for assessing the role of different effects. For instance, since one can, to some extent, control inhomogeneous broadening of excitons by growth conditions, luminescence measurements on a series on sample grown under different conditions could clarify the role of inhomogeneous broadening. Another possibility is to excite luminescence by pumping the sample from both sides, which will reduce effects due to inhomogeneity of source function, and assess the role of this effect. Temperature dependence of the intensity of the luminescent maxima can be used to verify the role of acoustic-phonon scattering.

An interesting question studied in the last section of the paper is concerned with the relation between luminescence and absorption spectra in multiple-quantum-well structures. First, using thermodynamical arguments, we derived a version of Kirchhoff’s law specifically adapted for one-
dimensional structures under consideration. Then, using developed formalism, we were able to establish the relation between luminescence and absorption in terms of microscopic characteristics of the system such as polarization correlation function and exciton susceptibility. Comparing the two results, we established a relation between the microscopic parameters consistent with the Kirchhoff’s law. In particular, we found that if the spectral region of interest is small compared to the characteristic energy scale of the photon distribution function, both polarization correlation function and the nonradiative decay rate of excitons can be considered as frequency independent.

ACKNOWLEDGMENTS

The work by the Ioffe Institute group was supported by the RFBR and programs of the RAS. The Queens College group would like to acknowledge partial support of AFOSR via Grant No. F49620-02-1-0305, as well as support by PCS-CUNY grants. Work at the Northwestern University was partially supported by NSF Grant No. DMR 0093949.

APPENDIX

In this appendix, we will provide a sketch of quantum-mechanical calculations of luminescence from a single quantum well. The objective of this exercise is to provide a microscopic justification for the quasiclassical approach employed in the paper and demonstrate relations between phenomenological source function $\Sigma(\rho, t)$ and microscopic characteristics of the system. This relation can be derived only by using the second quantization of the exciton and photon states and a relevant microscopic kinetic equation. Here, we will demonstrate the second-quantization approach for a single QW structure with a QW layer sandwiched between semi-infinite barriers. For simplicity, the dielectric contrast $n_0 - n_b$ is set to zero.

The two-dimensional (2D) $k$ space is naturally divided into two regions, radiative and nonradiative, respectively, with $k < k_0 = (\omega_0/c)n_b$ and $k > k_0$. In the process of relaxation of electron-hole pairs, nonradiative excitons with $k > k_0$ are being populated first, and we assume that, for these excitons, the criterion $\bar{k}l \gg 1$ for validity of the Boltzmann kinetic equation is fulfilled. Here, $\bar{k}^2$ is the average value of $k^2$ describing the spread of exciton population in the $k$ space, and $l$ is the 2D exciton mean free path length $l = (\hbar/k/M)\tau_p$, where $\tau_p$ is the exciton momentum scattering time and $M$ is the exciton in-plane effective mass. For three-dimensional (3D) photons, we introduce a quantization box of the volume $V = SL$ with the macroscopic interface area $S$ and the macroscopic length $L$ along the QW growth direction.

In order to calculate the spectral intensity of light emitted by excitons in the QW, we apply the Keldysh diagram technique in a way similar to the one used for the description of exciton or exciton-polariton photoluminescence in bulk crystals, see, for instance, Refs. 52 and 53. In this method, the intensity $I_q$ can be written as

$$I_q = \hbar \omega_q w_q, \quad w_q = - \lim_{\gamma \to 0} \left( \frac{\gamma}{\pi} \int D_{q,\omega}^+ d\omega \right). \quad (A1)$$

Here, $w_q$ is the emission rate of a photon with the 3D photon wave vector $q$; $D_{q,\omega}^+$ is the photon Green’s function presented in the left-hand side of the diagram equation shown in Fig. 10 by a short-dashed line connecting the lower and higher horizontal parts of the Keldysh contour labeled “+” and “−”, respectively; $\gamma$ is a positive photon damping rate, which is introduced for the formal reasons in order to stabilize the photon distribution in the steady-state regime [(2$\gamma$)$^{-1}$ is the photon lifetime in the quantization box]. In the end, $\gamma$ is set to zero, since in a single QW structure with the microscopic length $L$, the actual photon damping rate is negligible. Equation (A1) is derived taking into account that, in the steady-state regime, one has

$$D_{q,\omega}^+ = - 2\pi N_q \left( \frac{1}{\pi (\omega - \omega_q^2 + \gamma^2)} \right), \quad (A2)$$

where $\omega_q = cq/n_b$ and $N_q = w_q/(2\gamma)$ is the steady-state photon distribution function. Here, the temperature is assumed to be very small as compared to the exciton excitation energy, which allows one to neglect the equilibrium photon-state population. Note that the close-to-normal energy flux in the frequency range $d\omega$ and within the area $d^2q_0$ in the plane $(q_x, q_z)$ is related to $I_q$ by

$$dI_\omega = \frac{d^2q_0 d\omega}{(2\pi^2)} \frac{N_q}{c} I_q.$$  

The Green’s function $D_{q,\omega}^+$ is found from the diagram equation presented in Fig. 10. The diagrams on the right-hand side of the equation describe the acoustic-phonon-assisted scattering of an exciton from the nonradiant state $k'$ to the radiative state $q_0$, where $q_0$ is the in-plane component of $q$; $Q$ and $\omega_Q$ are the phonon wave vector and frequency. In Fig. 10, the short-dashed lines mean $iD_{q,\omega}^+$, where the superscripts $s'$, $s=\pm$ show the position of the ends of the photon Green’s functions.
$$D^{-}_{q\omega} = - (D^{++}_{q\omega})^\dagger = \frac{1}{\omega - \omega_q + i\gamma}.$$  

The solid lines represent exciton Green’s functions $iG_{k\omega}$ given by

$$G^{++}_{k\omega,\omega} = -\frac{2\pi f_k}{\Gamma_k} \left( \frac{1}{\pi} \left( \omega + \Omega_Q - \omega_k^{(\text{exc})} \right)^2 + \Gamma_k^2 \right),$$

where $\omega_k^{(\text{exc})}$, $\Gamma_k$, and $\Gamma_{\omega_0}$ are the exciton excitation energy and nonradiative and radiative damping rates, and $f_k$ is the exciton distribution function assumed to be small, $f_k \ll 1$. Each vortex represents the matrix element of exciton-phonon emission and absorption, respectively. As a result, we obtain

$$G^{++}_{k\omega,\omega} = - (G^{++}_{k\omega})^\dagger = \frac{1}{\omega - \omega_k^{(\text{exc})} + i\left( \Gamma_k + \Gamma_{\omega_0} \right)},$$

where $\omega_k^{(\text{exc})}$, $\Gamma_k$, and $\Gamma_{\omega_0}$ are the exciton excitation energy and nonradiative and radiative damping rates, and $f_k$ is the exciton distribution function assumed to be small, $f_k \ll 1$. Each vortex represents the matrix element of exciton-phonon emission and absorption, respectively. As a result, we obtain

$$iD^{++}_{q\omega} = \frac{1}{\hbar} \sum_{k', Q} \left| D^{++}_{q\omega} \right|^2 G^{++}_{k\omega,\omega} \left| V_{kQ} \right|^2 G^{++}_{k', Q\omega,\omega} m_Q \delta_{k', k+Q},$$

where summation over $k'$ is carried only over nonradiative states because the population of the radiative states is assumed to be negligible. It follows then that the photon generation rate $w_q$ in Eq. (A1) can be presented in the form

$$w_q = \frac{W^{(\text{phot})}(\omega_q, Q) W^{(\text{phon})}(\omega_q, Q)}{2(\Gamma_{\omega_0} + \Gamma_{\omega_0})},$$

where

$$W^{(\text{phot})}(\omega, Q) = \frac{2\pi}{\hbar^2} \left| M_{Qk} \right|^2 \frac{1}{\pi} \left( \omega - \omega_k^{(\text{exc})} \right)^2 + (\Gamma_k + \Gamma_{\omega_0})^2,$$

and

$$W^{(\text{phon})}(\omega, Q) = \frac{2\pi}{\hbar^2} \sum_{k'Q} \left| V_{kQ} \right|^2 f_k \left[ m_Q \delta(\omega - \Omega_Q - \omega_k^{(\text{exc})}) \delta_{k', k+Q} \right]$$

$$+ \left( m_Q + 1 \right) \delta(\omega + \Omega_Q - \omega_k^{(\text{exc})}) \delta_{k', k+Q}.$$

Here, taking into account the condition $k' \gg 1$, we replaced in the last equation the phonon’s resonance Lorentzian by Dirac delta functions.

In the Bragg and quasi-Bragg structures, the radiative excitonic states $|n, k\rangle$ in different wells $n$ with the same wave vector $k$ are strongly coupled by the electromagnetic field. In contrast, excitons with $k$ satisfying the conditions $k > k_0$ and $\sqrt{k^2 - k_0^2} \gg 1$ are decoupled and can be considered as excitations isolated in particular wells. The photon emission outgoing from a given QW can be described by Eq. (A3), while the propagation of this outgoing light wave through the entire MQW structure accompanied by its reabsorption and escape into the vacuum or substrate can be described classically.

Instead of the above microscopical consideration, in the main part of the paper, the photoluminescence spectra are calculated with the help of a quasiclassical Langevin-like approach by introducing the random source $\Sigma_m(\rho, \omega)$ into expression for exciton polarization, see Eq. (2). Now, the explicit expression for the correlator $\Xi_m(\omega, k)$ of the random sources in Eq. (42) can be readily found from Eq. (A3). In particular, one has

$$\Xi_m(\omega, 0) = \frac{2\pi q}{h e \mu \Gamma_0} |M_{Q0}|^2 W^{(\text{phon})}(\omega, 0) \left[ \int \Phi_m(z) dz \right]^2,$$

where $\Gamma_0$ is the radiative damping rate of an exciton with $k=0$ excited in a single QW structure.

The applicability of the Langevin method can be justified by considering Heisenberg equations of motion for exciton annihilation ($\hat{c}_k$) and creation ($\hat{c}_k^\dagger$) operators. For the exciton-phonon Hamiltonian

$$\sum_{kQ} \hat{c}_k^\dagger \hat{c}_k (\hat{a}_Q V Q \delta_{k', kQ} + \hat{a}_Q^\dagger V Q \delta_{k', kQ}^\dagger),$$

where $\hat{a}_Q$ and $\hat{a}_Q^\dagger$ are the acoustic-phonon annihilation and creation operators, one obtains

$$\hat{c}_k(t) = - \omega_k^{(\text{exc})} \hat{c}_k(t) - \frac{i}{\hbar} M_{Qk} \hat{a}_Q(t) + \hat{\xi}_k(t),$$

with $\hat{\xi}_k(t)$ being the photon annihilation operator. Here, the term

$$\hat{\xi}_k(t) = - \frac{i}{\hbar} \sum_{k'Q} (V_{Qk} \hat{a}_Q \delta_{k', kQ} + V_{Qk}^\dagger \hat{a}_Q^\dagger \delta_{k', kQ}^\dagger) \hat{c}_k'$$

plays the role of the Langevin source operator, and the summation again is carried over only values of $k'$ corresponding to nonradiative states. Fourier transform of the correlator of this operator,

$$i \int d\tau (\hat{c}_k(\tau) \hat{\xi}_k(t + \tau)) e^{i\omega t},$$

is equal to $W^{(\text{phon})}(\omega, k)$, which agrees with Eq. (A4) if we take into account the relation between the 2D exciton envelope function and exciton-induced polarization $P_{\text{exc}}$. 


EXCITON LUMINESCENCE IN ONE-DIMENSIONAL...