Effect of interwall surface roughness correlations on optical spectra of quantum well excitons

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We extend a theory of well-width fluctuations for inhomogeneous exciton broadening in quantum wells by expressing the fluctuation of the width in terms of the statistical characteristics of the morphological roughnesses of each interface forming the well. This allows us to take into account a possibility of cross correlations between the interfaces. We show that these correlations strongly suppress a contribution of interface disorder to the inhomogeneous linewidths of excitons. We also demonstrate that the vertical cross correlations are crucial for explaining the variety of experimental data on the dependence of the linewidth upon thickness of the quantum well.

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I. INTRODUCTION

Absorption and luminescence exciton spectroscopy are among the most important tools for studying quantum wells (QWs) as well as other semiconductor heterostructures. Therefore, one of the most fundamental problems in the physics of these systems is establishing connections between the spectral line shapes and the microscopic properties of the respective structures. A great deal of effort was devoted to this problem over the last half of the 20th century, and it has been established that at low temperatures the exciton linewidth in absorption and photoluminescence spectra in quantum wells is predominantly inhomogeneous. The shapes of the spectra in this case are determined by various types of disorders present in a structure, and it is currently generally accepted that the spectral widths in QWs are directly related to the quality of the interfaces, so that the luminescence spectra provide a quick and simple quality-assurance tool for the quality of the interfaces, so that the luminescence spectra provide an agreement between the experimental data and the microscopic properties of the respective structures.

The current theoretical approaches of interface-roughness effects on photoluminescence (PL) and absorption spectra in QWs are usually based on the concept of well-width fluctuation. According to this theory a small fluctuation \( \delta L \) of QW width results in the fluctuation of the confinement electron and hole energies: \( \delta E_n \sim (\partial E_n / \partial L) \delta L \). Therefore, the inhomogeneous broadening is proportional to the statistical average \( \langle \delta E_n \rangle \) over all possible topological configurations. Despite a qualitative success in the prediction of the major exciton characteristics in PL and absorption spectra, this theory suffers from a lack of ability to fit the whole variety of the experimental data. (See a comparison of the theory well-width fluctuations with experiments in Sec. II.) Also, the concept of a locally varying well width implicitly assumes that the surface corrugations of two QW interfaces are statistically independent, and can, therefore, be described by a single random function \( \delta L \). However, there exists much evidence that this assumption does not correspond to realistic quantum well structures, in which the “vertical” correlations between two interfaces (when the later-grown interface “remembers” the profile of the first-grown interface) are present. One can mention, for instance, direct morphological analysis done with cross-sectional scanning tunnel microscopy, scattering ellipsometry, and x-ray reflection measurements. Another relevant example of such correlations is the vertical stacking of quantum dots, where vertical correlation length is observed up to an 80-monolayer thickness. The well-width fluctuation theory cannot describe an effect of these correlations on the exciton linewidths.

The goal of this paper is to develop a generalized theory, which would be able not only to clarify the importance of interwall correlations, especially for narrow QWs, but could also provide tools to deal with more complicated systems such as asymmetric QWs or superlattices. We explicitly show here that the presence of interwall correlations significantly modifies optical spectra, and that taking these correlations into account is necessary in order to achieve even a qualitative agreement between the theory and the experimental results. One of the important practical conclusions of this work is that narrow lines do not always mean a good-quality interface (which is often assumed in experimental publications), but can be the result of a line-narrowing effect due to the interwall correlations.

This paper is organized in the following way. In the Sec. II we shall provide a brief review and critical analysis of the existing experimental results and relevant theories. In Sec. III we will generalize the earlier theories of interface disorder to include the effects of the vertical correlations. In the Sec. IV we will compare the results of our analysis with experiments. (For comparison of our theory with the theory of local width fluctuations see discussions at the end of Sec. III A.) The paper is concluded by an Appendix, in which we comment on the role of the lateral (in-plane) correlation length in the optical spectra of QW.

II. COMPARISON BETWEEN CURRENT THEORIES AND EXPERIMENT: CRITICAL REVIEW

Since a QW is generally a heterostructure formed by a binary semiconductor (AB) and a ternary disordered alloy (AB_1-x_C_x), there are two types of disorders responsible for...
the inhomogeneous broadening. One is compositional disorder caused by concentration fluctuations in a ternary component of the QW as well as random diffusion across the interface.\textsuperscript{12,13} The other source of inhomogeneous broadening in QWs is associated with the roughness of the interface caused by the formation of monolayer islands at the interfaces, resulting in local changes in the well thickness.\textsuperscript{2–6} The quality of the interfaces is very sensitive to the ambient parameters of the growth process. Depending on growth conditions, the atoms deposited on the surface can form “islands” of various lateral sizes with different correlation scales. These morphological changes manifest themselves in the shape and width of the optical spectra of QW excitons. Since both types of disorders can be ultimately traced to the shape and width of the optical spectra of QW excitons.

Theoretical studies of effects due to compositional and interface disorders on absorption and photoluminescence spectra have a long history (for review articles see, for example, Refs. 1, 14, and 15 and references therein.). In general, absorption and photoluminescence spectra, apart from the Stokes shift, have also different lineshapes. The calculation of the exciton absorption line shape is equivalent in the dipole approximation to the estimation of the optical density function\textsuperscript{1} $A(\varepsilon)$ which is determined by the properties of the underlined random potential. In turn, the emission peak should be treated as a more complicated average over only partially thermalized exciton occupation numbers. Below we concentrate on calculation of the exciton absorption peak. This problem can be divided into two fairly independent parts. The first deals with the derivation of the random potentials acting on excitons in QWs from the properties of microscopic fluctuating parameters (concentrations, well thickness, etc.). Its main objective is to calculate the correlation functions of these potentials. The second part of the problem consists of calculations of the characteristics of excitons subjected to these potentials and in establishing relations between the characteristics of optical spectra (linewidth, shape) and the properties of the potentials [root-mean-square (r.m.s.) fluctuation and correlation length]. Both of these problems were carefully studied in the past, but since the focus of the present work is on the former, we shall discuss it in more detail.

The main object of our discussion is the r.m.s value of the random potentials $W$, defined as $W = \sqrt{\langle V_{\text{eff}}(R)^2 \rangle}$, and its dependence on the microscopic parameters of the QW. Here $V_{\text{eff}}$ is the effective potential acting on excitons due to both compositional and interface disorders. The current theories\textsuperscript{3,13} predict distinctly different properties for contributions to the potential from these two types of disorders. These properties can be devised from the behavior of the single-particle electron and the hole QW wave functions. In particular, the dependence of $W$ upon the width of the well $L$ for a narrow well is predicted as being $\propto L$ for the interface disorder. For the compositional disorder, it depends on whether the QW is formed by a ternary alloy or a binary system.\textsuperscript{13} In the former case (as in In$_x$Ga$_{1-x}$As/GaAs) $W \propto L^{3/2}$, while in the case in which the QW is formed by a binary material, the dependence is $W \propto \sqrt{L}$. In the three-dimensional limit $L \to \infty$ the contributions of the two types of disorders to $W(L)$ are also different. The contribution of the interface disorder for large $L$ decreases as $1/L^3$, while the role of the alloy disorder again depends upon the type of the structure. If QW is formed by a ternary alloy $W$ decreases with the width only weakly, as $1/\sqrt{L}$, before reaching a constant three-dimensional limit. If, however, the alloy forms barriers, $W$ decreases with $L$ much faster as $\exp(-\kappa_0 L)/L^3$, where $\kappa_0$ is an inverse penetration length of ground-state wave function in the barrier region. Although in both cases the function $W(L)$ has a maximum at some intermediate values of $L$, the position of the maximum and the shape of the function $W(L)$ differ for the two types of disorders.

While the qualitative picture of disorder-induced broadening is understood rather well, attempts at a quantitative comparison of the theoretical predictions with the experiments face significant difficulties. In Fig. 1 we collected experimental data for the dependencies of low-temperature photoluminescence exciton linewidths on QWs of average thickness, $L$. All the data are for In$_x$Ga$_{1-x}$As/GaAs heterostructures and represent experimental results from several research groups.\textsuperscript{16–19} While all the results show a nonmonotonous dependence in accord with theoretical expectations, the maxima have different positions and do not seem to have a regular dependence on the concentration; the peak for $x = 0.18$ lies between the peaks for $x = 0.12$ and $x = 0.135$. The maxima also have different heights and sharpness. For example, the fullwidths at half maximum (FWHM) for $x = 0.09$ and $x = 0.11$ have very smooth behaviors more characteristic of compositional disorder, while other data have rather sharp features more typical of interface disorder. Finally, the values of FWHM at large $L$, which are determined mainly by compositional disorder, are scattered over quite a broad range.

It is not surprising that different samples show different behaviors in Fig. 1, since they were prepared under different growth conditions, and, as a result, they have different alloy disorder and interface-roughness characteristics. It is more surprising that if we try to analyze these data in light of the current theories\textsuperscript{3,13} of interface-roughness and alloy-disorder inhomogeneous broadening, then we encounter difficulties in fitting all these curves with the parameters in hand. Regarding the alloy contribution to the linewidth, we note that in the quantum mechanical approaches to this problem\textsuperscript{3,12,13,20} this
The diversity of the experimental behavior for the FWHM shown in Fig. 1 presents a significant difficulty for the existing theories of the interface contribution to the linewidth.\textsuperscript{3,28} Even from the point of view of the qualitative interpretation of the results. Indeed, the statistical properties of an interface are usually characterized by two length parameters: the thickness fluctuation $h$ and the lateral (in-plane) correlation length $\sigma$. With some reservations they are often taken to be equivalent to the average height and the lateral size of the islands at the interface. The size of the height fluctuations $h$ usually has a very restricted range of variations of one or two monolayers. In the semiclassical limit of the Gaussian-shaped linewidth, the broadening is proportional to the product $\hbar \sigma_z$, which is really only one flexible parameter of the theory. With the help of this parameter it is possible to adjust the relative heights of the maxima, but not their positions and particularly not the sharpness of these maxima. Even if one takes into account the contributions from both types of disorders, it is still not possible to explain the variations between the optical spectra of different samples, a problem addressed in Sec. IV.

It is clear from the provided analysis that the existing theories of the inhomogeneous broadening of excitons are unable to quantitatively explain the experimental data. We suggest in this paper that one of the reasons for this failure is the neglect of the interwall correlations mentioned in the Introduction. While this idea does not fix the problems caused by wrong estimates of the alloy-disorder contribution, we will show in the subsequent sections of this paper that it does allow us to explain all varieties of experimental results related to the properties of the curves in Fig. 1 in the vicinity of their maxima.

### III. Statistical Properties of the Interfaces and Exciton Effective Potential

#### A. A model of the interface disorder

In order to make the problem tractable, we introduce standard simplifications assuming that both conduction and valence bands are nondegenerate and that they both have an isotropic, parabolic dispersion characterized by the masses $m_e$ and $m_h$, respectively. Throughout the paper we use effective atomic units (a.u.), which means that all distances are measured in the units of the effective Bohr radius $a_B = \hbar^2/\mu e^2$, energies in units of $E_{\text{Bohr}} = \mu_e e^2/\hbar^2 = 2\text{Ry}$, and masses in units of reduced electron-hole mass $\mu^*$, where $1/\mu^* = 1/m_e + 1/m_h$. In this notation $m_{e,h} = m_e^*/\mu^*$, where $m_{e,h}^*$ are the effective masses of an electron and a heavy hole. We will choose the $z$ axes in the direction of growth of the structure (vertical direction). The plane perpendicular to this direction is the lateral plane (see Fig. 2). We measure the electron and hole energies in the QW from the conduction and valence band edges of the barrier, respectively. Then the potential of a QW with interface roughness is given by

\[
U_{e,h}(r) = -V_{e,h} (\eta(z + L/2 - \eta_1(x,y)) - \delta(z - L/2 - \eta_2(x,y)))
\]

\[
= U_{e,h}^{(0)}(z) + \delta U_{e,h}^{\text{int}}(r),
\]

where $\theta(z)$ is a step function, $V_{e,h}$ are differences in the offset band energies, and
The random functions \( \eta_{1,2}(x,y) \), with zero mean, characterize the deviation of the \( i \)th interface from its average position. The perturbation expansion of the \( \Theta \) function is justified because an interface roughness is almost always small for the typical parameters in semiconductor heterostructures. The presence of the two functions \( \eta_{1,2}(x,y) \) distinguishes Eqs. (2) and Eq. (3) from the respective equations of Ref. 3, where the roughness of only one interface was taken into account.

The statistical properties of the interfacial roughness in multilayered systems can be characterized by the height-height correlation functions,

\[
\langle \eta_i(\mathbf{p}_1) \eta_j(\mathbf{p}_2) \rangle = h^2 f_{ij} \zeta(\mathbf{p}_1 - \mathbf{p}_2),
\]

where \( h \) is an average height of interface inhomogeneity, and \( \langle \cdot \cdot \cdot \rangle \) denotes an ensemble average. We assume here that the dependence of both the diagonal and the nondiagonal correlations on the lateral coordinates \( \mathbf{p} \) is described by the same function \( \zeta(\mathbf{p}) \). The diagonal elements \( f_{ii} \) are the constants, and the respective functions describe the lateral correlation properties of a given interface (self-correlation functions). The nondiagonal elements with \( i \neq j \) introduce correlations between different interfaces; the respective quantity \( f_{ij}(L/\sigma_{||}) \), which can be called a cross- or vertical-correlation function, is a function of the average width of the well and is characterized by the vertical correlation length \( \sigma_{||} \). (A subscript \( "||" \) denotes that the direction of the vertical correlation is parallel to the direction of growth.)

The effect of the interwall vertical correlations has been previously considered in studies of the conductivity of thin metallic films.\(^{29-31}\) To the best of our knowledge, in all previous microscopic studies of the exciton line shape in the optical spectra of QWs, these correlations were omitted. Such an approximation is valid for wide QWs, but in the case of narrow QWs the vertical correlations are experimentally confirmed\(^7\) and should be taken into account. In the limit \( L/\sigma_{||} \ll 1 \) it is reasonable to assume that \( f_{11} = f_{22} \) and that the interwall correlation function \( f_{12} \) tends to \( (f_{11} + f_{22})/2 \), which means that for the very small separation between the interfaces one random surface spatially repeats the pattern of the other (see Fig. 3). As we shall see below, the effect of the interface disorder in this case tends to cancel out at least in the first order of the perturbation theory. For the sake of concreteness we will assume below that

\[
2f_{12} = (f_{11} + f_{22}) \exp(-L^2/\sigma_{||}^2).
\]

The value of the vertical correlation length \( \sigma_{||} \) depends on the growth process. In the following analysis we will also assume the Gaussian form for the lateral correlation function:

\[
\zeta(\mathbf{R}) = \exp(-R^2/2\sigma_{||}^2).
\]

The limit \( \sigma_{||} \to 0 \) corresponds to the white-noise correlator,

\[
\zeta(\mathbf{R}) = 2\pi\sigma_{||}^2 \delta(R).
\]

Following the standard procedure\(^{12}\) described in numerous papers we derive the Schrödinger equation for the center-of-mass (COM) exciton motion subjected to an effective random potential,

\[
U_{eff}(\mathbf{R}) = \int \delta U_{e,h}(\mathbf{p}) \phi^2(\mathbf{p}) \chi^2(\mathbf{z}_h) d^2p dz_h d\mathbf{z}_h,
\]

with \( U_{eff}(\mathbf{R}) \) given by

\[
U_{eff}(\mathbf{R}) = \int \left[ \delta U_e(\mathbf{z}) \phi^2(\mathbf{p}) \chi^2(\mathbf{z}_h) + \delta U_h(\mathbf{z}) \right] d^2p dz_h.
\]

Here \( \beta_{e,h} = m_{e,h}/M \). Hereafter we will omit an explicit dependence on \( L \) for the electron and hole wave functions \( \chi_{e,h}(L/2) \), always assuming that their values are taken at the position of the interface. The correlation function for the effective potential \( U_{eff}(\mathbf{R}) \) can then be expressed as

\[
\langle U(\mathbf{R}_1)U(\mathbf{R}_2) \rangle = T_{ee} + T_{hh} + 2T_{eh},
\]

where

\[
T_{ii} = h^2 V_i \chi_i^2 \left[ f_{11} + f_{22} - 2f_{12}(L) \right] \times \int d^2p d^2p' \phi^2(\mathbf{p}) \phi^2(\mathbf{p}') \zeta(\mathbf{R} - \beta_i(\mathbf{p} - \mathbf{p}')).
\]

\[
T_{eh} = h^2 V_e V_h \chi_e \chi_h \left[ f_{11} + f_{22} - 2f_{12}(L) \right] \times \int d^2p d^2p' \phi^2(\mathbf{p}) \phi^2(\mathbf{p}') \zeta(\mathbf{R} - \beta_e \mathbf{p} + \beta_e \mathbf{p}'),
\]

where \( i,j = e \) or \( h \) and \( \mathbf{R} = \mathbf{R}_1 - \mathbf{R}_2 \).

In all of these expressions, the terms in front of the integrals determine the dependence of the correlation function on the QW width \( L \), while the integrals themselves determine
the spacial correlations in the lateral dimensions. Each of these terms can be presented in the form

$$T_{ij}(L,R) = \hbar^2 V_i^j f_{ij}(L) G_{ij}(R),$$

$$F_{ij}(L) = \chi_i \chi_j^* \{ f_{11} + f_{22} - 2 f_{12}(L) \},$$

$$G_{ij}(R) = \int d^2 p d^2 p' \hat{\phi}^2(\rho) \hat{\phi}^2(\rho') \zeta(\bf{|R-\beta|}) \rho_{ij}(\beta),$$

where the indexes $i,j$ take the values $e$ and $h$. (Note that the order of these indices in the integrand is important.)

Equations (14)–(16) can be considered as an extension of an often-used theory of well-width fluctuations. According to this theory the width of a QW is given by $L = \langle L \rangle + \delta L$, where $\delta L$ is a random variable with a correlator $\langle \delta L(p_i) \delta L(p_j) \rangle = 2\hbar^2 \exp(-|p_i - p_j|^2/2\sigma^2)$.

In the first order of the perturbation theory, the result is zero, and in-plane coordinates: $(\hat{\nabla} \eta_i(\rho) \hat{\nabla} \eta_j(\rho') \chi_i \chi_j^*) \sim \hbar^2 (L/\sigma)^2$ and similar terms. (These results will be published elsewhere.) Corrections of this type can play an essential role for a not very smooth surface corrugation. Also, the advantage of the multiple interface-roughness approach is that it can be easily extended to the more complicated cases of an asymmetric QW, a QW in the external fields, or multiple QWs and superlattices, where a right guess for the width-fluctuation correlator is not so obvious.

FIG. 4. Dependence of the correlation function of the hole-hole interface-roughness potential on the well width in an In$_{0.15}$Ga$_{0.85}$As/GaAs QW. The solid line is the function $F_{hh}(L)$. The dashed line is the fourth degree of the hole wave function at the interface. The dot-dashed line shows the approximations of this function for small and large $L$, given by Eqs. (19) and (20). The maximum of $\chi h_0(L)^4$ is approximately located at $1/k_{00} = 1/\sqrt{2m\hbar}$.

B. Dependence on well thickness

Let us first focus on the functions $F_{ij}(L)$, which determine the dependence of the total correlation function on the QW width $L$. For the sake of concreteness we consider in detail the function $F_{hh}$. The analysis of the other functions is similar. The dependence of $F_{hh}$ on $L$ comes from two factors. The first factor is the 4th degree of the electron’s QW wave function $\chi_0^2$ calculated at the interfaces, $z = \pm L/2$. This dependence in the In$_{0.15}$Ga$_{0.85}$As/GaAs QW is shown in Fig. 4 by a dashed line. It is easy to understand the behavior of $\chi_0^2$ for the cases of large and small widths. The characteristic scale here is given by $1/k_{00} = 1/\sqrt{2m\hbar}$, since this scale determines the number of energy levels in a finite QW ($N = 1 + [k_{00}L/\pi]$).

For a finite QW the ground-state wave function has a piecewise form,

$$\chi(z) = \begin{cases} \cos(kz), & z \leq \langle L/2 \rangle \\ \exp(-k|z|), & z \geq \langle L/2 \rangle. \end{cases}$$

(17)

where $k = \sqrt{k_{00}^2 - k^2}$, and $k$ is the ground-state wave vector. From the normalization and matching conditions, one can readily obtain the value of the square of the wave function at the interface

$$\chi^2 = B^2 \exp(-\kappa L) = \left[ \frac{\kappa}{1 + \kappa^2 L^2 + \kappa k_{00}^2 / (2k^2)} \right].$$

(18)

In the case of a wide QW, there are many discrete levels in the well, and the well is “almost infinite”: $k = \pi/L - 2\pi/2L_{20}$. $\kappa = \kappa_{00}$, and

$$\chi^2 = \frac{2\pi^2 k_{00}}{k_{00}^2 L^3 + 2k_{00}^2 L^2 + 2\pi^2} \sim \frac{1}{L^3}.$$

(19)

Since for large well widths the vertical interwall correlations are negligible, $f_{12} \to 0$, Eq. (19) determines the total decrease of the interface correlator with increasing width.

In the opposite case of very narrow QWs, there is only one shallow level, which can be determined from the $\delta$-functional potential approximation: $k = k_{00} - L^2 k_{00}^2 / 8$, $\kappa = k_{00} L^2 / 2$, and...
\[ \chi^2 \approx \frac{\kappa^2 L^2}{2}. \]  

If one neglects vertical correlations, this expression describes the suppression of the interface disorder for narrow wells because of a decreased portion of the hole (electron) wave function inside the well. This result was obtained earlier in, for instance, Ref. 22. Intercell correlations, however, significantly modify this dependence. For lengths smaller than the vertical correlation length \( \sigma_v \), we have

\[ f_{11} + f_{22} - 2f_{12} \sim \left( \frac{L}{\sigma_i} \right)^\gamma, \]  

where \( \gamma \) is determined by the form of the intercell correlation factor \( f_{12} \). For example, for Gaussian or Lorentzian dependences of \( f_{12}(L) \), the parameter \( \gamma = 2 \), while for the exponential function \( \gamma = 1 \). Thus, we obtain that, in narrow QWs, interface correlations are strongly suppressed by the factor

\[ F_{ij}(L) \sim L^{2-\gamma}, \quad L < \sigma_v, 1/\kappa_0. \]  

While the transition between the two asymptotic behaviors of \( \gamma \) is determined by the parameter \( \kappa_0 \), the behavior of \( f_{12} \) depends on the correlation length \( \sigma_{12} \), which is a completely independent parameter. The experimental data suggest that it is quite possible for \( \sigma_{12} \) to be much larger than \( \kappa_0 \). In this case, intercell correlations can affect not only the \( L \to \infty \) asymptotic of \( W \), but also its behavior at \( L \gg \kappa_0 \). Instead of \( 1/L^3 \) behavior one would have a much slower decrease of \( W \) with \( L \), \( W \approx L^{−3} \).

From the behavior of the wave function (18) we can see that the maximum of \( F_{hh}(L) \) is reached in the vicinity of \( L \sim 1/\kappa_{0h} \). However, as the previous analysis demonstrates, the vertical correlation function \( f_{12} \) can significantly shift this position; it can also change the height and shape of the peak. Thus, the presence of the intercell correlation term \( f_{11} + f_{22} - 2f_{12} \) in the function \( F_{hh} \) can naturally explain all variety of experimental results related to the properties of the curves in Fig. 1 in the vicinity of their maxima. The graph of function \( F_{hh} \), with intercell correlations taken into account, is shown in Fig. 4. One can see that these correlations indeed significantly affect the shape of this function. While we only discussed the properties of the hole-hole correlator, it is clear that the behavior of the electron-electron and hole-electron terms is similar.

### C. Dependence on lateral correlation length

In order to investigate the results of the interplay between the lateral and intercell correlations, let us now analyze the lateral correlation functions \( G_{ij}(R) \). Their behavior is determined by the ratio of the potential correlation length \( \sigma_{12} \) to the average size of the exciton in a plane, as well as by dimensionless parameters \( \beta_e \) and \( \beta_h \). In order to evaluate the respective integrals, we chose the normalized ground-state function of the exciton relative motion in a quasi-two-dimensional form,
FIG. 5. The thick solid line is the lateral correlation function \(G(0;y,1)\). The dashed lines are its asymptotes given by Eq. (31). The thick dotted-dashed line is a cross-term correlator \(G(0;y,0)\). Its asymptotes (dashed lines) are given by Eq. (28).

\[
G_{ei}(0;y_h,0) = \begin{cases} 
\frac{4\sigma^2}{\lambda^2} - 2\sqrt{\pi} y_h^3 + \cdots, & y_h \ll 1 \\
1 - \frac{3}{2y_h^2}, & y_h \gg 1. 
\end{cases}
\] (28)

The calculations are more cumbersome for the electron-electron and the hole-hole contributions \(G_{ii}(0,y_j,1)\). It is convenient to perform a transformation to the new set of coordinates which reflect the symmetry of the integral.33

\[
s = \rho_1 + \rho_2, \quad t = \rho_1 - \rho_2, \quad u = \rho_{12} = \sqrt{\rho_1^2 + \rho_2^2 - 2\rho_1\rho_2\cos \theta}. \quad (29)
\]

After this transformation39 the calculations for \(G_{ii}\) are reduced to the one-dimensional integral,

\[
G_{ii}(0,y_j,1) = y_j^4 \int_0^\infty ds e^{-2y_j s} \left[ s + (2s^2 - 1)e^{-s^2} \sqrt{\pi} \text{erf}(is) \right]. \quad (30)
\]

The term in square brackets in the integrand of Eq. (30) is a smooth function that behaves as \(\sim (8/3)s^3\) for small \(s\) and changes its behavior to \(\sim 2s\) for \(s > 1\). These dependencies allow one to estimate the asymptotic behavior for the correlator \(G_{ii}(0;y_j,1)\),

\[
G_{ii}(0,y_j,1) = \begin{cases} \frac{y_j^2}{2} - \frac{23y_j^4}{84}, & y_j \ll 1 \\
1 - 3y_j^2, & y_j \gg 1. \end{cases} \quad (31)
\]

The first terms in the series expansions of Eqs. (28) and (31) for small \(y\) correspond to the white-noise limit of the height-height correlation function, Eq. (7), and they were obtained previously in Ref. 34. They can be readily derived by the substitution of a \(\delta\) function instead of the last exponent in the integrand of Eq. (25). The consecutive terms in these formulas describe deviations from the white-noise model in the case of the short-range correlations. The dependence of the correlators \(G_{ij}(0;y,\alpha)\) on the respective parameters \(y\) is shown in Fig. 5, from which one can see that corrections to the white-noise approximation become significant, even at relatively small values of the correlation length, \(\sigma^2\).

For the white-noise interface roughness, Eq. (7), the analytical results can be obtained for the more elaborate exciton ground-state trial function.35

\[
\phi(\rho) = \frac{2\exp(\gamma)}{\sqrt{2\pi\lambda^2(1 + \gamma)}} \exp(-\sqrt{\rho^2/\lambda^2 + \gamma^2/4}), \quad (32)
\]

which more accurately takes into consideration the three-dimensional character of the exciton. The parameter \(\gamma = 2d/\lambda\) determines the ratio of the finite average distance \(d\) between the electron and the hole in the QW to the two-dimensional Bohr radius \(\lambda\). In this case, one can obtain for the most important hole-hole correlator \((\alpha = 1)\) the following expression:

\[
G(0,\sigma^2; \lambda, \gamma, \beta, 1) = \frac{\sigma^2}{\lambda^2} \left[ 1 + 2\gamma \right] \left[ 8V_eV_hx\lambda^2 + \frac{V_e^2x^2}{\beta^2h}\right]. \quad (33)
\]

This result formally coincides with the short-range limit \(\gamma^2/2\) of Eq. (31) after the introduction of the renormalized effective Bohr radius \(\tilde{\lambda} = \lambda(1 + \gamma)/\sqrt{1 + 2\gamma}\). Since the parameter \(\gamma\) in this expression is usually less than or of the order of unity, this renormalization is not significant, and we can conclude that an approximation of the exciton wave function by Eq. (23) gives reasonable results, at least for the short-range interface disorder. Collecting together all of the results for the variance, we obtain in the limit of the short-range interface disorder,

\[
W^2 = h^2[f_{11} + f_{22} - 2f_{12}(U/\sigma)] \frac{\sigma^2}{\lambda^2} \left[ 8V_eV_hx\lambda^2 + \frac{V_e^2x^2}{\beta^2h}\right]. \quad (34)
\]

Apart from the vertical-correlation factor, \(f_{11} + f_{22} - 2f_{12}\), this expression coincides with the results obtained in Ref. 34. As expected, in the case in which holes have a significantly larger mass than electrons (the typical situation for the InGa1-xAs/GaAs or AlGa1-xAs/GaAs quantum wells), the hole-hole term in square brackets in Eq. (34) dominates. In the opposite limit of the long-range interface correlations the result is

\[
W^2 = h^2[f_{11} + f_{22} - 2f_{12}(U/\sigma)](V_hx^2 + V_e^2x^2)^2, \quad (35)
\]

which agrees with the conclusion of Ref. 6 obtained for a different model of the interface disorder: in the regime of the long-range correlations the distribution of the effective potential reproduces the distribution of the interface roughness.

IV. COMPARISON WITH EXPERIMENTAL RESULTS

In order to compare the calculations of \(W\) with the experimental absorption spectra one needs to evaluate the dynamics of the excitons in a random potential with the given correlation properties. This problem was intensively discussed in the literature,1,13,15,21 and we are going to use the results of the cited papers in conjunction with our analysis of the effective potential. There are two main models of exciton dynamics in a random model. One of them treats excitons quantum-mechanically in the limit of negative and large energies, while describing a most important intermediate region using an interpolation procedure.13,21 In this approach the
The theory of exciton absorption, which, according to Ref. 15, is valid when the exciton linewidth has an asymmetric shape with the linewidth proportional to $W^2$. In the second approach excitons are treated semiclassically, if the underlying compositional or interface-roughness disorder is described by the Gaussian random process, then the shape of the exciton line is also approximately Gaussian with a FWHM equal to $\Delta = 2 \lambda \ln(2) W$. A transition between the quasiclassical and quantum regimes of exciton dynamics is determined by the parameter $\nu = W / K_e$. Here $K_e = h^2 / 2 M \ell^2$ is the kinetic energy of an exciton confined in a spatial region of size $\ell$, where $\ell$ is a suitably defined correlation length of the random potential (also see the Appendix). The quantum limit corresponds to the case $\nu \leq 1$, while the semiclassical approximation is valid when $\nu \gg 1$.

In order to compare the results obtained here with optical experimental data, we will make use of the semiclassical theory of exciton absorption, which, according to Ref. 15, can be reliably applied to the situation under consideration. (The typical material parameters for an In$_{0.12}$Ga$_{0.88}$As/GaAs QW yield $\nu \sim 5$ for $\Delta_\nu = 2a_{lat}$, where $a_{lat} = 5.87 \, \text{Å}$ is a lattice constant and $\nu$ increases with an increase of the correlation length.) Using the semiclassical relation for $\Delta$, we plot the dependence of the interface-roughness contribution to the exciton linewidth as a function of the well width in Figs. 6 and 7. Figure 6 represents curves for different lateral correlation lengths (“island sizes”), while Fig. 7 shows how these results are modified by the interwall correlations. The first thing to notice is that the changes in the lateral correlation length $\Delta = W / K_e$ drives the curves away from the experimental results. This has to be compared with the results of incorporating the interwall correlations (Fig. 7). An increase in $\Delta$ not only significantly reduces the height of the curve maximum, but also shifts its position toward larger values of $L$ and widens it.

It would be interesting to try to fit the experimental data presented in Fig. 1 with the results of our calculations. To this purpose one also needs to know the contribution of the alloy disorder to the total linewidth. Making use of the model of short-range compositional disorder in a QW without the adiabatic approximation, one can obtain the following formula for the alloy-disorder-induced variance $W^2$:

$$a_{lat}^2 x(1-x) \left[ \frac{\alpha_e^2}{\beta_e} \int_{-L/2}^{L/2} \chi_b(z)^4 dz + 8 \alpha_e \alpha_x \right] \times \int_{-L/2}^{L/2} \chi_h(z)^2 \chi_e(z)^2 dz + \frac{\alpha_e^2}{\beta_h} \int_{-L/2}^{L/2} \chi_e(z)^4 dz ],$$

(36)

where $a_{lat}$ is the lattice constant and $\alpha_{e,h} = dV_{e,h}/dx$ characterizes the rate of the shift of the conduction and valence bands with the composition, $x$. The formula is given for the case of a QW made of a ternary alloy. The semiclassical theory of the exciton linewidth again yields $\Delta = 2 \lambda \ln(2) W$, while the interpolation procedure for the quantum limit in effective atomic units gives $\Delta = 0.59 MW^2$. The In$_{0.12}$Ga$_{0.88}$As/GaAs QW is intermediate between these two limits, since $\nu \sim 1$. In Fig. 8 we present both of the $\Delta$ dependencies on the well thickness. Unfortunately, as we can see, there exists a strong discrepancy between the theoretical estimates of the contribution from the alloy disorder and the experimental results. Note that Eq. (36) has two enhancement factors. The first one is determined by the lateral shrinkage of the quasi-two-dimensional Bohr’s radius of the exciton ($0.5 < \lambda < 1$). Another stronger enhancement parameter, $1/\beta_e = (M/m_e)^2$, is due to heavy hole’s mass. It is not the goal of this paper to uncover the causes of this discrep-
ancy. However, common wisdom tells us that in the bulk limit of a very wide QW ($L > a_B$) only alloy-disorder contribution should survive. The simplest way to adjust the theory is to introduce a phenomenological scaling down of $\Delta_{\text{comp}}$ to the value that should coincide with the experimental results in the limit of the large $L$ asymptote. Although at present the reason for such rescaling is unknown, it is hard to imagine that the proper theory of alloy-disorder contribution will change the dependence of the variance on the well thickness $L$ determined by the integrals in Eq. (36). Since our main purpose is to elucidate the role of the interwall correlations rather than to revise the existing theories of the alloy disorder, we carry out this operation, keeping in mind its purely technical nature. The results of the best fit performed in this way are shown in Fig. 8 along with the best fit values of the lateral and vertical correlation lengths. Performing a similar fitting procedure for other experimental dependencies of the FWHM on well thickness (shown in Fig. 1) we obtained the following values (normalized on lattice constant $d_{\text{lat}}$) for the lateral and inter wall correlation lengths in In$_{x}$Ga$_{1-x}$As/GaAs QWs: for $x=0.09$ $\sigma_l=1$, $\sigma_v=20$, for $x=0.18$ $\sigma_l=1$, $\sigma_v=10$, for $x=0.11$ $\sigma_l=1$, $\sigma_v=5$, for $x=0.12$ $\sigma_l=1$, $\sigma_v=3$, for $x=0.135$ $\sigma_l=4$, $\sigma_v=13$. The most important result of this exercise is the demonstration that the fit would not be possible at all without taking into account the interwall correlations. We would also like to stress that it is not possible to achieve a good agreement with the experimental results by omitting the interwall correlations and using only the alloy-disorder scaling as an additional fitting parameter. We conclude, therefore, that the interwall correlations play an important role and must be taken into account when interpreting the experimental results.

V. CONCLUSION

In conclusion, in this paper we address the influence of vertical interwall correlations between the rough interfaces on the exciton line shape. We show that the presence of these correlations strongly suppresses the interface-disorder contribution into an inhomogeneous broadening. The latter means that for narrow quantum wells it might happen that the exciton linewidth tells more about the quality of the barrier material than about the quality of the interface, contrary to what is often claimed in the experimental literature. On the other hand, the differences in the interwall correlation lengths can account for the variety of the positions, strengths, and sharpness of the FWHM dependence on the well width for experimental data obtained by different research groups.

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APPENDIX: DEPENDENCE OF THE LATERAL CORRELATION FUNCTION ON $R$

The calculation of the exciton absorption line shape is equivalent in the dipole approximation to the estimation of the optical density function,

\[
A(\epsilon) = \sum_i \left| \int d^2 R \psi_i(R) \right|^2 \delta(\epsilon - \epsilon_i),
\]

where $\epsilon_i$ and $\psi_i(R)$ are the corresponding energy and wave functions of the Schrödinger equation (8) for the exciton’s COM. The shape of $A(\epsilon)$ depends on the strength of the disorder. The latter can be roughly measured by the parameter $\nu=W/K_c$, where $W=\sqrt{\langle \psi_{ef}(R)^2 \rangle}$ is a variance of the potential energy induced by fluctuations, and $K_c=\hbar^2/2Me^2$ is the kinetic (“correlational”) energy of the exciton. The parameter $\nu$ determines the confinement of the exciton’s COM wave function. It can be extracted from the knowledge\textsuperscript{15} of the lateral dependence on $R$ for the correlation functions $G_{ij}(R,y_j,\alpha)$ since

\[
\nu_c = \int d^2 R' \langle U(R)U(R-R') \rangle/W^2.
\]

For the “white-noise” height-height correlator (7) one can show that all of the distances are scaled by factors $(\lambda\beta)/2$, i.e., $R = 2R/(\lambda\beta)$. For the cross-term correlator in the limit of heavy holes and light electrons the result is simple again,

\[
G_{eh}(R;y_h,\alpha) = G_{eh}(R;y_h,0) = 2y_h^2 \exp(-\vec{R}),
\]

while for the diagonal terms we have $G_{ii}(R;y_j,0) = y_j^2/2f(\vec{R})$, where

\[
f(\vec{R}) = \frac{4}{\pi} \int_0^\infty d\rho \int_0^\infty d\rho' \exp(-\rho - \sqrt{\rho^2 + 4\rho' \rho \cos \theta + \rho'^2}).
\]
with a correlation length of the order of the exciton radius. Thus, even though the initial correlator of the interface fluctuations was of the white-noise type, the effective noise for the exciton potential is colored with exponential tails and with a correlation length of the order of the exciton radius. Similar results were obtained earlier for the bulk compositional fluctuations and for the island model of interfacial roughness. One can show that such exponential asymptotes also persist for the long-range Gaussian correlator [Eq. (6)] when \( R/y \gg 1 \). Since

\[
G_{ee}(R) = \frac{1}{\hbar^2} \frac{1}{m_e} \frac{m_e}{m_h} \left( m_e/m_h \right) G_{hh}(R) \exp(-\tilde{R}), \quad \tilde{R} > 1.
\]

we can see that the lateral part of the electron-electron correlation function is suppressed by the factor \( (m_e/m_h)^2 \), but it has a larger correlation length. Overall, the localization length \( \xi_e \) is determined however by the hole-hole term \( \xi_h \sim \lambda \beta_n \). The normalized lateral correlation functions \( G_{ij}(R) \) are shown in Fig. 9.

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